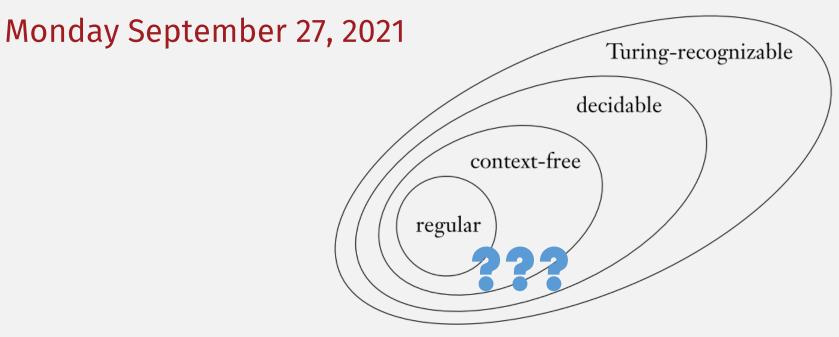
# Non-Regular Languages



#### Announcements

HW2 due yesterday

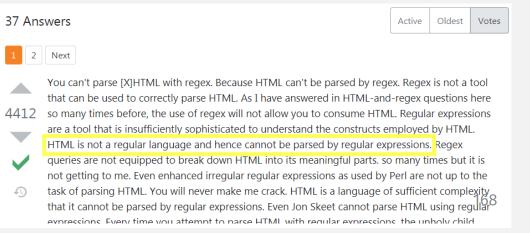
• HW3 released, due Sun 10/3 11:59pm EST

- First in-person class: next Monday 10/4
  - McCormack M01-0209

### So Far: Regular or Not?

- Many ways to prove that a language is regular:
  - Construct a <u>DFA</u> or <u>NFA</u> (or GNFA)
  - Come up with a regular expression describing the language
- But how to show that a language is not regular?
  - E.g., HTML / XML is not a regular language
  - Can't be represented with a regular expression (common mistake)!





#### Flashback: Designing DFAs or NFAs

- Each state "stores" some information
  - E.g.,  $q_0$  = "seen zero 1s",  $q_1$  = "seen one 1",  $q_2$  = "seen two 1s" etc.
  - Finite states = finite amount of info (decided in advance)
- This means <u>DFAs can't keep track of an arbitrary count!</u>
  - would require infinite states

### A Non-Regular Language

$$L = \{ \mathbf{0}^n \mathbf{1}^n \mid n > = \mathbf{0} \}$$

- A DFA recognizing L would require infinite states! (impossible)
  - States representing zero 0s, one 0, two 0s, ...
- This language represents the essence of many PLs, e.g., HTML!
  - To better see this replace:
    - "0" -> "<tag>" or "("
    - "1" -> "</tag>" or ")"

Still, how do we prove non-regularness?

- The problem is tracking the **nestedness** 
  - Regular languages cannot count arbitrary nesting depths
  - So most programming language syntax is not regular!

### A Lemma About Regular Languages

**Pumping lemma** If A is a regular language, then there is a number p (the pumping length) where if s is any string in A of length at least p, then s may be divided into three pieces, s = xyz, satisfying the following conditions:

- **1.** for each  $i \geq 0$ ,  $xy^iz \in A$ ,
- 2. |y| > 0, and 3.  $|xy| \le p$ .

All regular languages satisfy these three conditions!

> Specifically, strings in the language longer than length p satisfy the conditions

> > Lemma doesn't tell you an exact p! (just that there exists "some" p)

# The Pumping Lemma: Finite Langu

The pumping lemma is only interesting for infinite langs! (containing strings with repeatable parts)

**Pumping lemma** If A is a regular language, then there is a number p (the pumping length) where if s is any string in A of length at least p, then s may be divided into three pieces, s = xyz, satisfying the following conditions:

- **1.** for each  $i \geq 0$ ,  $xy^i z \in A$ ,
- **2.** |y| > 0, and **3.**  $|xy| \le p$ .

In finite langs, these are true for all strings "of length at least p" (for some p)

What's a possible *p*? **Length of longest string + 1** 

# strings in the language with at least length p? None!

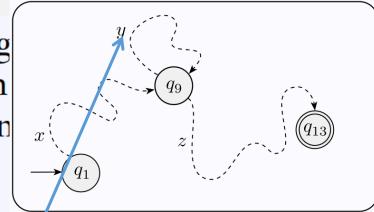
Therefore, <u>all</u> strings with length at least *p* satisfy the pumping lemma conditions! ©

Example: a finite language {"ab", "cd"}

• All finite langs are regular (can easily construct DFA/NFA recognizing them)

### The Pumping Lemma, a Closer Look

**Pumping lemma** If A is a regular lang pumping length) where if s is any string in divided into three pieces, s = xyz, satisfyin



nber p (the en s may be s:

- 1. for each  $i \geq 0$ ,  $xy^iz \in A$ ,
- **2.** |y| > 0, and
- 3.  $|xy| \le p$ .

"long enough" strings, should have a repeatable ("pumpable") part; "pumped" string is still in the language

#### Strings that have a <u>repeatable</u> part can be split into:

- *x* = the part <u>before</u> any repeating
- y = the repeated part
- z =the part <u>after</u> any repeating

This makes sense because DFAs have a finite number of states, so for "long enough" (i.e., some length *p*) inputs, some state must repeat

e.g., "long enough length" = # of states +1

(The Pigeonhole Principle)

# The Pumping Lemma: Infinite Languages

**Pumping lemma** If A is a regular language, then there is a number p (the pumping length) where if s is any string in A of length at least p, then s may be divided into three pieces, s = xyz, satisfying the following conditions:

- 1. for each  $i \ge 0$ ,  $xy^iz \in A$ , 2. |y| > 0, and "pumpable" part of string 3.  $|xy| \le p$ . "pumpable" part cannot be empty

#### Example: infinite language {"00", "010", "0110", "01110", ...}

- Language is regular bc it's described by the regular expression 01\*0
- Notice that the middle part is pumpable!
- E.g., "010" in the language can be split into three parts: x = 0, y = 1, z = 0
  - Any pumping (repeating) of the middle part creates a string that is still in the language
    - $i = 1 \rightarrow "010"$ ,  $i = 2 \rightarrow "0110"$ ,  $i = 3 \rightarrow "01110"$

#### <u>Summary:</u> The Pumping Lemma ...

• ... states properties that are true for all regular languages

#### **IMPORTANT:**

• The Pumping Lemma cannot prove that a language is regular!

• But ... we can use it to prove that a language is not regular

#### **Poll: Conditional Statements**

#### Equivalence of Conditional Statements

- Yes or No? "If X then Y" is equivalent to:
  - "If Y then X" (converse)
    - No!
  - "If not X then not Y" (inverse)
    - No!
  - "If not Y then not X" (contrapositive) ← Proof by contradiction
    - Yes!

#### Pumping Lemma: Proving Non-Regularity

... then the language is **not** regular

**Pumping lemma** If A is a regular language, then there is a number p (the pumping length) where if s is any string in A of length at least p, then s may be divided into three pieces, s = xyz, satisfying the following conditions:

- **1.** for each  $i \geq 0$ ,  $xy^i z \in A$ ,
- **2.** |y| > 0, and
- 3.  $|xy| \leq p$ .

If any of these are **not** true ...

**Contrapositive:** 

"If X then Y" is equivalent to "If **not** Y then **not** X"

# Pumping Lemma: Non-Regularity Example

Let B be the language  $\{0^n 1^n | n \ge 0\}$ . We use the pumping lemma to prove that B is not regular. The proof is by contradiction.

#### How To Do Proof By Contradiction

Assume the opposite of the statement to prove

Show that the assumption <u>leads to a contradiction</u>

Conclude that the original statement must be true

... then **not** true

**rumping lemma** If A is a regular language, then there is a number p (the pumping length) where if s is any string in A of length at least p, then s may be divided into three pieces, s = xyz, satisfying the following conditions:

- 1. for each  $i \geq 0$ ,  $xy^i z \in A$ ,
- **2.** |y| > 0, and
- 3.  $|xy| \le p$ .

p 1s

Contrapositive: If **not** true ...

<u>Reminder</u>: Pumping lemma says strings >= length *p* splittable into *xyz* where *y* is pumpable

#### Possible Split: y = all 0s

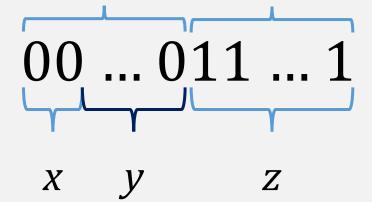
**Proof** (by contradiction):

- Assume:  $0^n 1^n$  is a regular language
  - So it must satisfy the pumping lemma
  - I.e., all strings length p or longer are pumpable p 0s

• Counterexample =  $0^p 1^p$ 

• Choose xyz split so y contains:

• all 0s



- Pumping y: produces a string with more 0s than 1s
  - Which is <u>not</u> in the language  $0^n1^n$
  - This means that  $0^p1^p$  does <u>not</u> satisfy the pumping lemma
  - Which means that that  $0^n1^n$  is a <u>not</u> regular language
  - This is a **contradiction** of the assumption!

BUT ... pumping lemma requires only one pumpable splitting

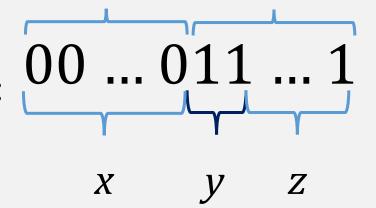
So the proof is not done!

Is there another way to split into xyz?

### Possible Split: y = all 1s

#### **<u>Proof</u>** (by contradiction):

- <u>Assume</u>:  $0^n 1^n$  **is** a regular language
  - So it must satisfy the pumping lemma
  - I.e., all strings length p or longer are pumpable p 0s
- Counterexample =  $0^p 1^p$
- Choose xyz split so y contains:
  - all 1s



- Is this string pumpable?
  - No!
  - By the same reasoning as in the previous slide

**Pumping lemma** If A is a regular language, then there is a number p (the pumping length) where if s is any string in A of length at least p, then s may be divided into three pieces, s=xyz, satisfying the following conditions:

- **1.** for each  $i \geq 0$ ,  $xy^i z \in A$ ,
- **2.** |y| > 0, and
- **3.**  $|xy| \leq p$ .

p 1s

Is there another way to split into xyz?

- **1.** for each  $i \geq 0$ ,  $xy^iz \in A$ ,
- **2.** |y| > 0, and
- 3.  $|xy| \leq p$ .

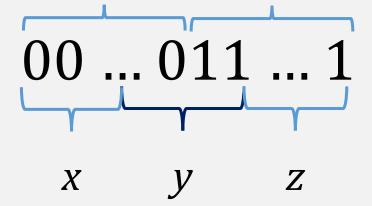
# Possible Split: y = 0s and 1s

#### **Proof** (by contradiction):

- Assume:  $0^n 1^n$  is a regular language
  - So it must satisfy the pumping lemma
  - I.e., all strings length p or longer are pumpable p 0s

p 1s

- Counterexample =  $0^p 1^p$
- Choose xyz split so y contains:
  - both 0s and 1s



Did we examine every possible splitting?

Yes! QED

- Is this string pumpable?
  - No!
  - Pumped string will have equal 0s and 1s
  - But they will be in the wrong order: so there is still a contradiction!

But maybe we did't have to ...

# The Pumping Lemma: Condition 3

**Pumping lemma** If A is a regular language, then there is a number p (the pumping length) where if s is any string in A of length at least p, then s may be divided into three pieces, s = xyz, satisfying the following conditions:

- **1.** for each  $i \geq 0$ ,  $xy^iz \in A$ ,
- **2.** |y| > 0, and
- 3.  $|xy| \leq p$ .

Repeating part y ... must be in the first *p* characters!

y must be in here! 192

### The Pumping Lemma: Pumping Down

**Pumping lemma** If A is a regular language, then there is a number p (the pumping length) where if s is any string in A of length at least p, then s may be divided into three pieces, s = xyz, satisfying the following conditions:

- 1. for each  $i \geq 0$ ,  $xy^i z \in A$ ,
- **2.** |y| > 0, and
- 3.  $|xy| \le p$ .

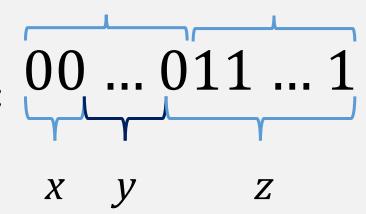
Repeating part y must be non-empty ... but can be repeated zero times!

Example:  $L = \{0^i 1^j | i > j\}$ 

### Pumping Down

#### **Proof** (by contradiction):

- <u>Assume</u>: L is a regular language
  - · So it must satisfy the pumping lemma
  - I.e., all strings length p or longer are pumpable p+1 0s p 1s
- Counterexample =  $0^{p+1}1^p$
- Choose xyz split so y contains:
  - all 0s
  - (Only possibility, by condition 3)



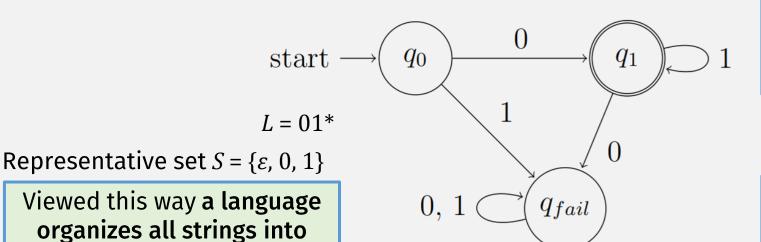
- Repeat y zero times (pump down): produces string with 0s =< 1s</li>
  - Which is <u>not</u> in the language  $\{0^i1^j \mid i>j\}$
  - This means that  $\{0^i1^j \mid i>j\}$  does <u>not</u> satisfy the pumping lemma
  - Which means that that it is a not regular language
  - This is a contradiction of the assumption!

### Pumping Lemma Doesn't Always Work!

• What if you can't figure out a counterexample?

### Another Way to Prove Regularity

- A set of strings S is "representative" of a language L if:
  - Every possible string  $w \in \Sigma^*$  maps to a string s in S via REP where ...
  - REP(w) = s, if for every possible string z,  $wz \in L$  iff  $sz \in L$



For regular languages, strings in the "representative" set correspond to states in a DFA!

S contains one string that reaches each state

Then REP(w) = s if w reaches the same state that s represents

Then for any string z,  $wz \in L$  iff  $sz \in L$  because they started in the same state!

A language is regular if this number of groups is finite, i.e. it

distinct groups

has a finite representative set!

#### Another Way to Prove Non-Regularity

- A set of strings S is "representative" of a language L if:
  - Every possible string  $w \in \Sigma^*$  maps to a string s in S via REP where ...
  - REP(w) = s, if for every possible string z,  $wz \in L$  iff  $sz \in L$

$$L = \{ \mathbf{0}^n \mathbf{1}^n \mid n > = \mathbf{0} \}$$

- There must be a REP( $0^k$ ) every k ...
  - Because for every two strings  $\mathbf{0}^k$  and  $\mathbf{0}^m$  ...
  - ... there's some z that completes it such that  $0^k z \in L$  but  $0^m z$  is not
  - E.g., let  $z = \mathbf{1}^k$ , then  $\mathbf{0}^k \mathbf{1}^k \in L$  but  $\mathbf{0}^m \mathbf{1}^k$  is not in L

The representative set is infinite!

So the language is not regular!

#### Check-in Quiz 9/27

On gradescope