

# Pushdown Automata (PDAs)

Monday, October 7, 2020

# HW3 Questions?

# HW4 out

- HW4 due in 2 weeks
- HW3 due Sunday 11:59pm EST

# Last time: Designing Grammars

- Start with small grammars and then combine (just like FSMs)

- “Or”:  $S \rightarrow S_1 \mid S_2$

- “Concatenate”:  $S \rightarrow S_1 S_2$

- “Repetition”:  $S' \rightarrow S' S_1 \mid \epsilon$

# In-class exercise: Designing grammars

alphabet  $\Sigma$  is  $\{0,1\}$

$\{w \mid w \text{ starts and ends with the same symbol}\}$

- $S \rightarrow 0C'0 \mid 1C'1 \mid \varepsilon$       “string starts/ends with same symbol, middle can be anything”
- $C' \rightarrow C'C \mid \varepsilon$       “all possible terminals, repeated (ie, all possible strings)”
- $C \rightarrow 0 \mid 1$       “all possible terminals”

# Analogies

Regular Language	Context-Free Language (CFL)
Regular Expression (Regex)	Context-Free Grammar (CFG)
Regex <u>describes</u> a Reg lang	CFG <u>describes/generates</u> a CFL

# Analogies

Regular Language	Context-Free Language (CFL)
Regular Expression (Regexp)	Context-Free Grammar (CFG)
Regexp <u>describes</u> a Reg lang	CFG <u>describes/generates</u> a CFL
	<b>TODAY:</b>
Finite automaton (FSM)	Push-down automaton (PDA)
FSM <u>recognizes</u> a regular lang	PDA <u>recognizes</u> a CFL

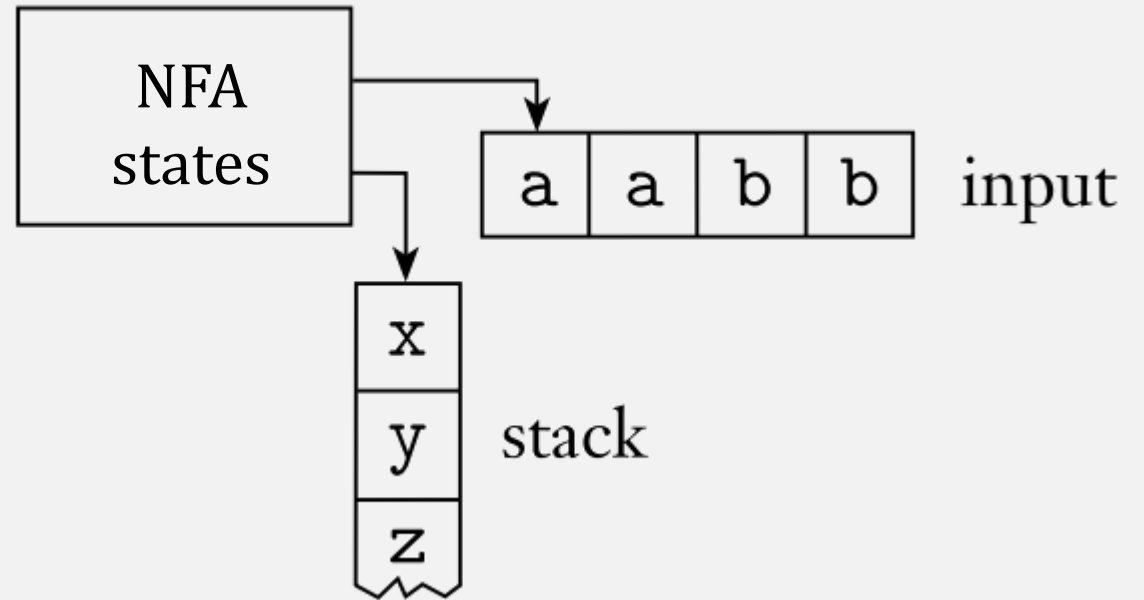
# Analogies

Regular Language	Context-Free Language (CFL)
Regular Expression (Regex)	Context-Free Grammar (CFG)
Regex <u>describes</u> a Reg lang	CFG <u>describes/generates</u> a CFL
	<b>TODAY:</b>
Finite automaton (FSM)	Push-down automaton (PDA)
FSM <u>recognizes</u> a regular lang	PDA <u>recognizes</u> a CFL
<b>DIFFERENCE:</b>	<b>DIFFERENCE:</b>
Regular lang defined via FSM	CFL defined via CFG
Must prove Regex $\Leftrightarrow$ Reg lang	Must prove PDA $\Leftrightarrow$ CFL



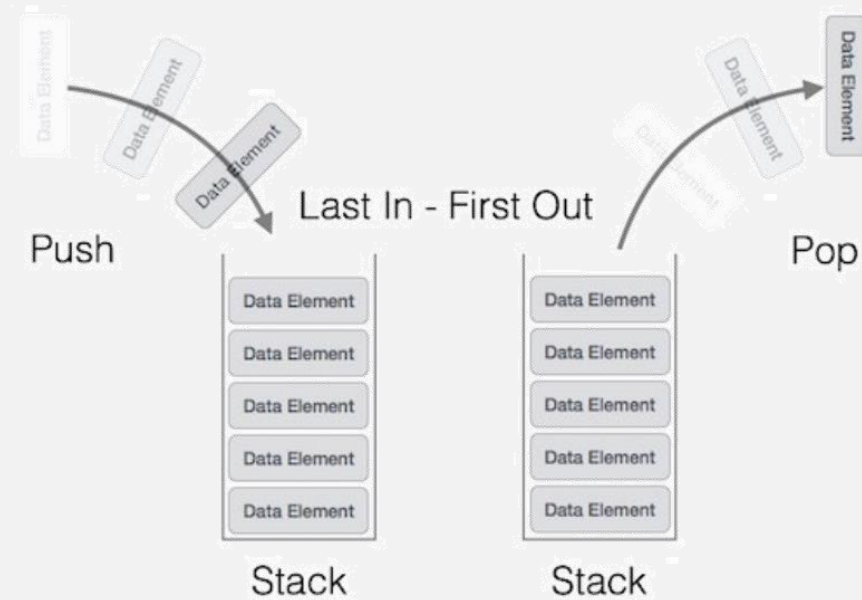
# Pushdown Automata (PDA)

- PDA = NFA + a stack



# A (Mathematical) Stack Specification

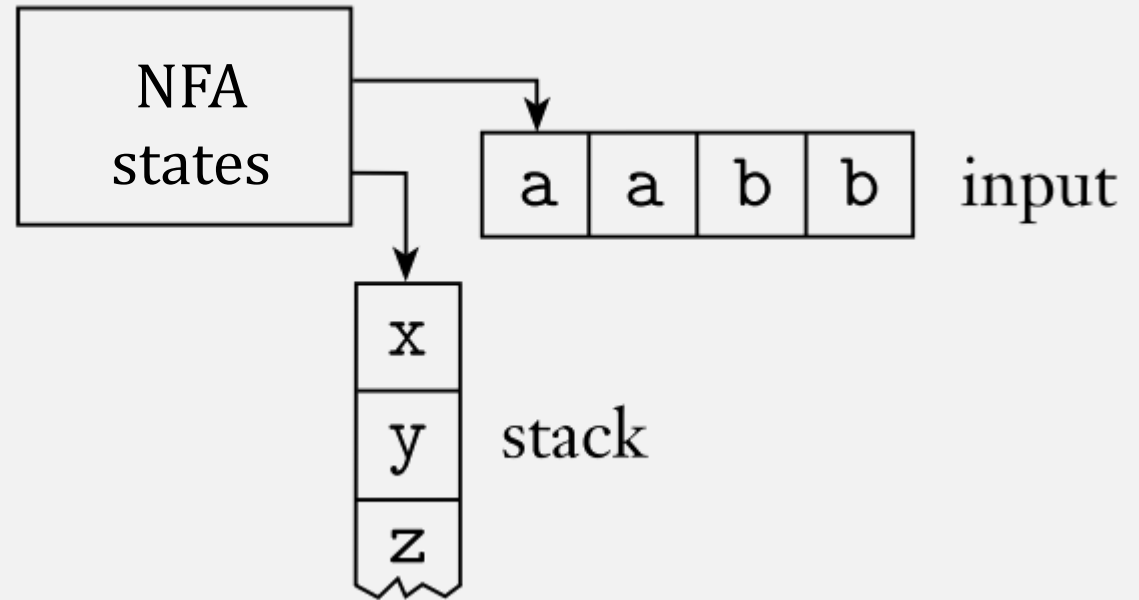
- Access to top element of stack only
- Operations: push, pop



- (What could be a possible code representation?)

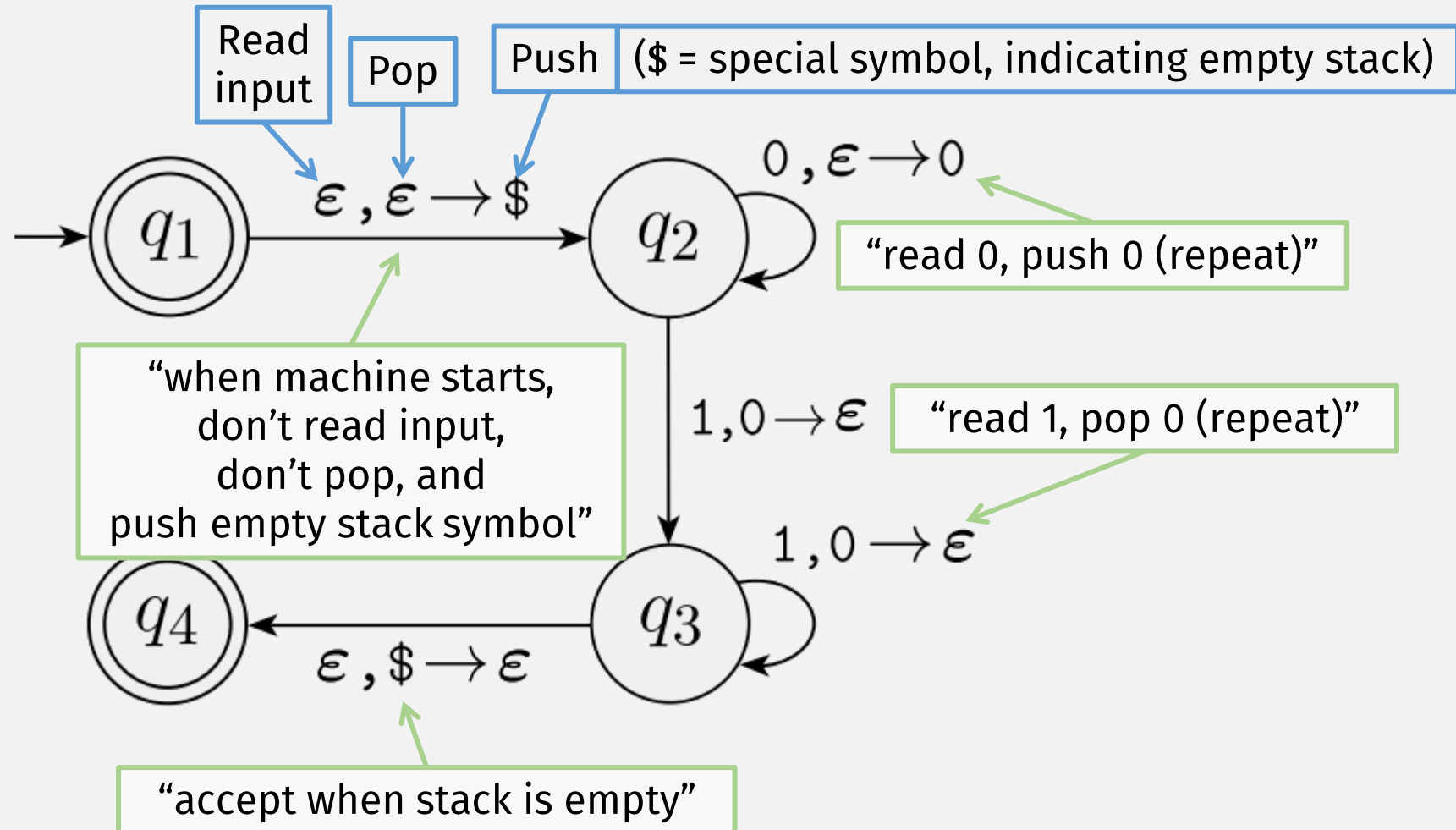
# Pushdown Automata (PDA)

- PDA = NFA + a stack
  - Infinite memory
  - But only read/write top loc
    - Push/pop



# A Example PDA

$$\{0^n 1^n \mid n \geq 0\}$$

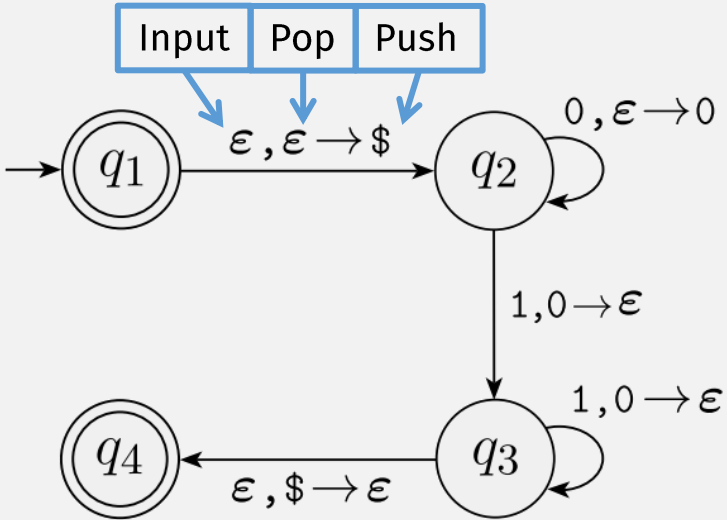


# Formal Definition of PDA

A *pushdown automaton* is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$ , where  $Q$ ,  $\Sigma$ ,  $\Gamma$ , and  $F$  are all finite sets, and

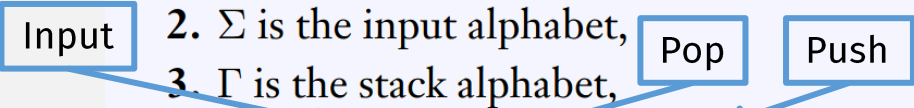
1.  $Q$  is the set of states,
2.  $\Sigma$  is the input alphabet,
3.  $\Gamma$  is the stack alphabet,
4.  $\delta: Q \times \Sigma_\epsilon \times \Gamma_\epsilon \longrightarrow \mathcal{P}(Q \times \Gamma_\epsilon)$  is the transition function,
5.  $q_0 \in Q$  is the start state, and
6.  $F \subseteq Q$  is the set of accept states.

# In-class example



A *pushdown automaton* is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$ , where  $Q, \Sigma, \Gamma$ , and  $F$  are all finite sets, and

1.  $Q$  is the set of states,
2.  $\Sigma$  is the input alphabet,
3.  $\Gamma$  is the stack alphabet,
4.  $\delta: Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow \mathcal{P}(Q \times \Gamma_\epsilon)$  is the transition function,
5.  $q_0 \in Q$  is the start state, and
6.  $F \subseteq Q$  is the set of accept states.



$$Q = \{q_1, q_2, q_3, q_4\},$$

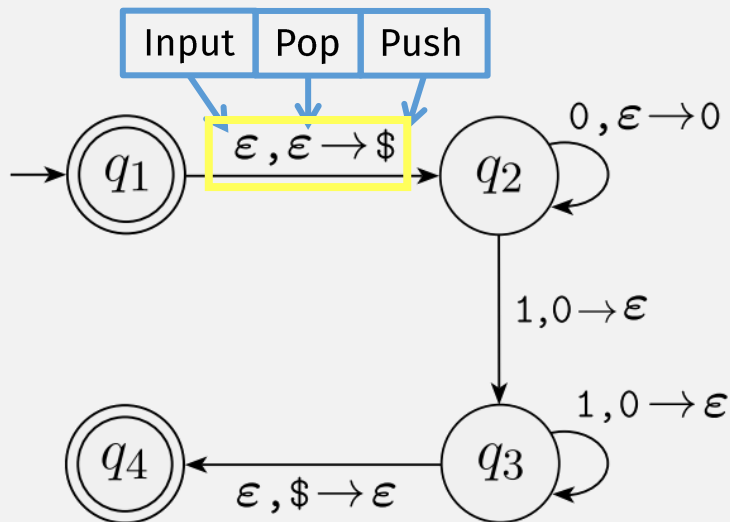
$$\Sigma = \{0, 1\},$$

$$\Gamma = \{0, \$\},$$

$$F = \{q_1, q_4\}, \text{ and}$$

$\delta$  is given by the following table, wherein blank entries signify  $\emptyset$ .

Input:	0			1			$\epsilon$		
Stack:	0	\$	$\epsilon$	0	\$	$\epsilon$	0	\$	$\epsilon$
$q_1$									$\{(q_2, \$)\}$
$q_2$	$\{(q_2, 0)\}$			$\{(q_3, \epsilon)\}$					
$q_3$				$\{(q_3, \epsilon)\}$			$\{(q_4, \epsilon)\}$		
$q_4$									



A *pushdown automaton* is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$ , where  $Q, \Sigma, \Gamma,$  and  $F$  are all finite sets, and

- $Q$  is the set of states,
- $\Sigma$  is the input alphabet,
- $\Gamma$  is the stack alphabet,
- $\delta: Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow \mathcal{P}(Q \times \Gamma_\epsilon)$  is the transition function,
- $q_0 \in Q$  is the start state, and
- $F \subseteq Q$  is the set of accept states.

$$Q = \{q_1, q_2, q_3, q_4\},$$

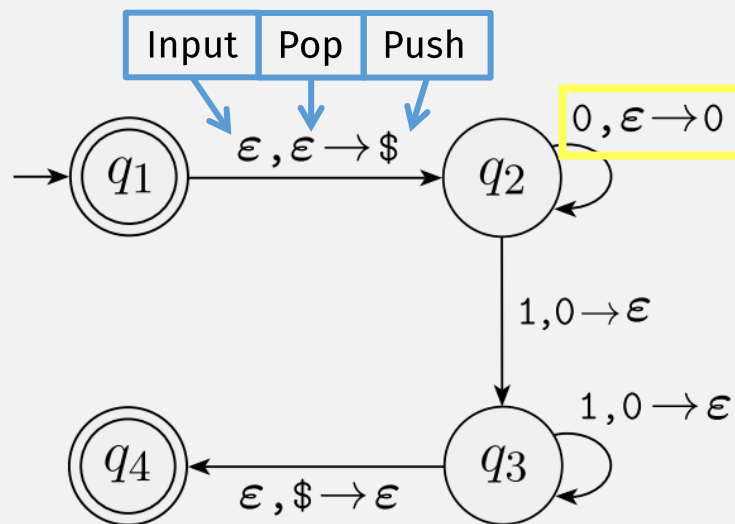
$$\Sigma = \{0, 1\},$$

$$\Gamma = \{0, \$\},$$

$$F = \{q_1, q_4\}, \text{ and}$$

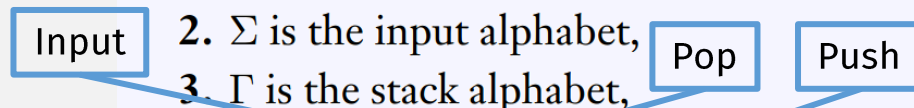
$\delta$  is given by the following table, wherein blank entries signify  $\emptyset$ .

Input:	0			1			$\epsilon$		
Stack:	0	\$	$\epsilon$	0	\$	$\epsilon$	0	\$	$\epsilon$
$q_1$									
$q_2$			$\{(q_2, 0)\}$						
$q_3$			<b>1</b>	$\{(q_3, \epsilon)\}$	<b>2</b>				
$q_4$									$\{(q_2, \$)\}$
								<b>4</b>	<b>5</b>
									$\{(q_4, \epsilon)\}$



A *pushdown automaton* is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$ , where  $Q, \Sigma, \Gamma$ , and  $F$  are all finite sets, and

- $Q$  is the set of states,
- $\Sigma$  is the input alphabet,
- $\Gamma$  is the stack alphabet,
- $\delta: Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow \mathcal{P}(Q \times \Gamma_\epsilon)$  is the transition function,
- $q_0 \in Q$  is the start state, and
- $F \subseteq Q$  is the set of accept states.





$$Q = \{q_1, q_2, q_3, q_4\},$$

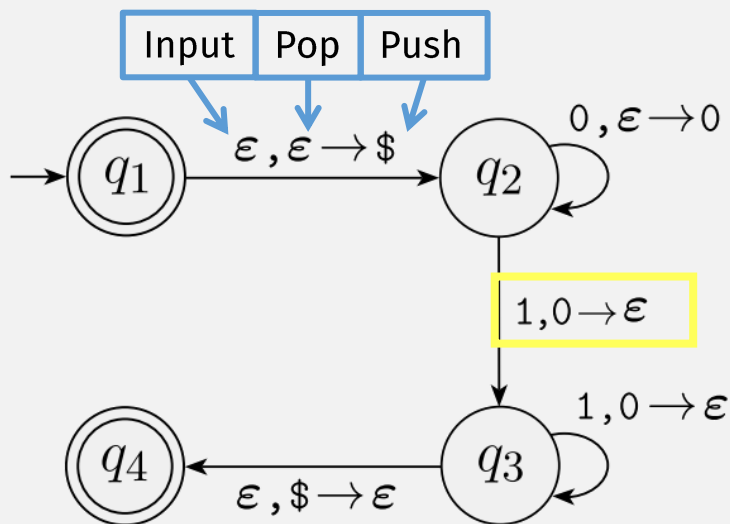
$$\Sigma = \{0, 1\},$$

$$\Gamma = \{0, \$\},$$

$$F = \{q_1, q_4\}, \text{ and}$$

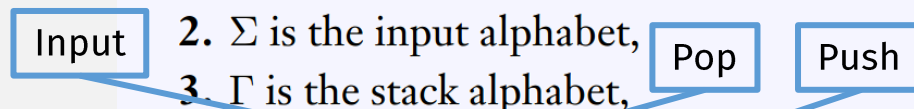
$\delta$  is given by the following table, wherein blank entries signify  $\emptyset$ .

Input:	0			1			$\epsilon$		
Stack:	0	\$	$\epsilon$	0	\$	$\epsilon$	0	\$	$\epsilon$
$q_1$									
$q_2$			$\{(q_2, 0)\}$			$\{(q_3, \epsilon)\}$			$\{(q_2, \$)\}$
$q_3$			<b>1</b>			$\{(q_3, \epsilon)\}$			<b>5</b>
$q_4$									$\{(q_4, \epsilon)\}$



A *pushdown automaton* is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$ , where  $Q, \Sigma, \Gamma$ , and  $F$  are all finite sets, and

- $Q$  is the set of states,
- $\Sigma$  is the input alphabet,
- $\Gamma$  is the stack alphabet,
- $\delta: Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow \mathcal{P}(Q \times \Gamma_\epsilon)$  is the transition function,
- $q_0 \in Q$  is the start state, and
- $F \subseteq Q$  is the set of accept states.



$$Q = \{q_1, q_2, q_3, q_4\},$$

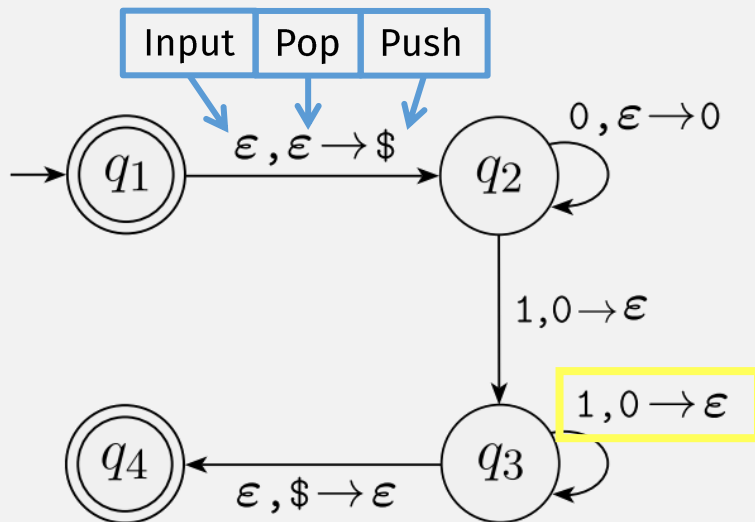
$$\Sigma = \{0, 1\},$$

$$\Gamma = \{0, \$\},$$

$$F = \{q_1, q_4\}, \text{ and}$$

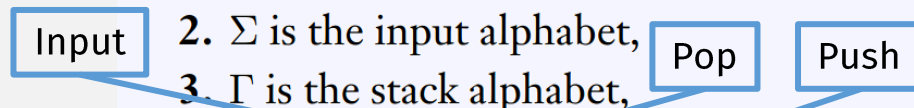
$\delta$  is given by the following table, wherein blank entries signify  $\emptyset$ .

Input:	0			1			$\epsilon$		
Stack:	0	\$	$\epsilon$	0	\$	$\epsilon$	0	\$	$\epsilon$
$q_1$									
$q_2$			$\{(q_2, 0)\}$			$\{(q_3, \epsilon)\}$			
$q_3$			<b>1</b>			$\{(q_3, \epsilon)\}$			
$q_4$									$\{(q_4, \epsilon)\}$



A *pushdown automaton* is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$ , where  $Q, \Sigma, \Gamma$ , and  $F$  are all finite sets, and

- $Q$  is the set of states,
- $\Sigma$  is the input alphabet,
- $\Gamma$  is the stack alphabet,
- $\delta: Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow \mathcal{P}(Q \times \Gamma_\epsilon)$  is the transition function,
- $q_0 \in Q$  is the start state, and
- $F \subseteq Q$  is the set of accept states.



$$Q = \{q_1, q_2, q_3, q_4\},$$

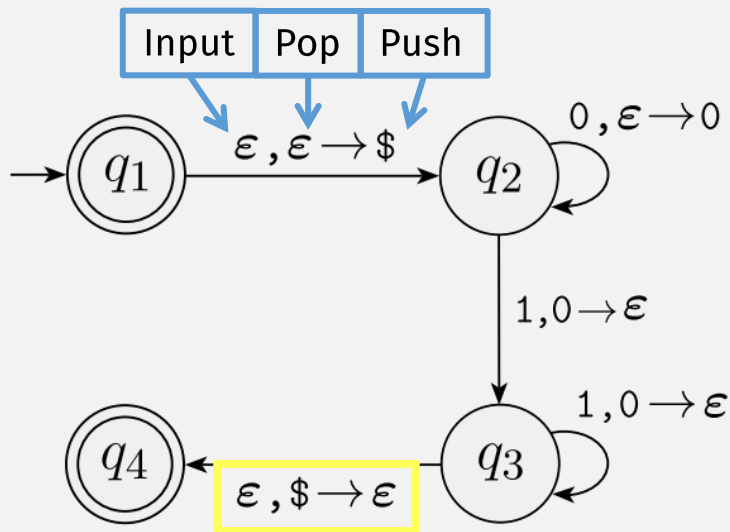
$$\Sigma = \{0, 1\},$$

$$\Gamma = \{0, \$\},$$

$$F = \{q_1, q_4\}, \text{ and}$$

$\delta$  is given by the following table, wherein blank entries signify  $\emptyset$ .

Input:	0			1			$\epsilon$		
Stack:	0	\$	$\epsilon$	0	\$	$\epsilon$	0	\$	$\epsilon$
$q_1$									$\{(q_2, \$)\}$
$q_2$	$\{(q_2, 0)\}$			$\{(q_3, \epsilon)\}$					
$q_3$				$\{(q_3, \epsilon)\}$					
$q_4$						$\{(q_4, \epsilon)\}$			

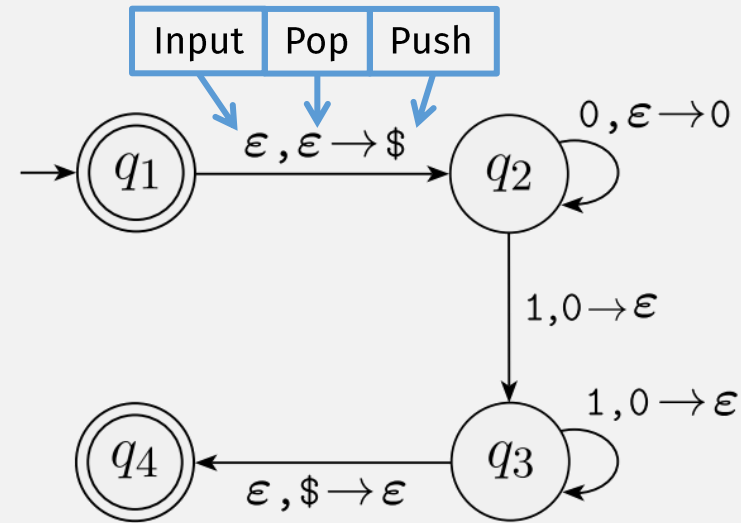


A *pushdown automaton* is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$ , where  $Q, \Sigma, \Gamma$ , and  $F$  are all finite sets, and

- $Q$  is the set of states,
- $\Sigma$  is the input alphabet,
- $\Gamma$  is the stack alphabet,
- $\delta: Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow \mathcal{P}(Q \times \Gamma_\epsilon)$  is the transition function,
- $q_0 \in Q$  is the start state, and
- $F \subseteq Q$  is the set of accept states.

# Pushdown Automata (PDA)

- PDA = NFA + a stack
  - Infinite memory
  - But only read/write top location
    - Push/pop
- Want to prove: PDA  $\Leftrightarrow$  CFG
- Then to prove that a language is a CFL, we can either:
  - Create CFG, or
  - Create PDA



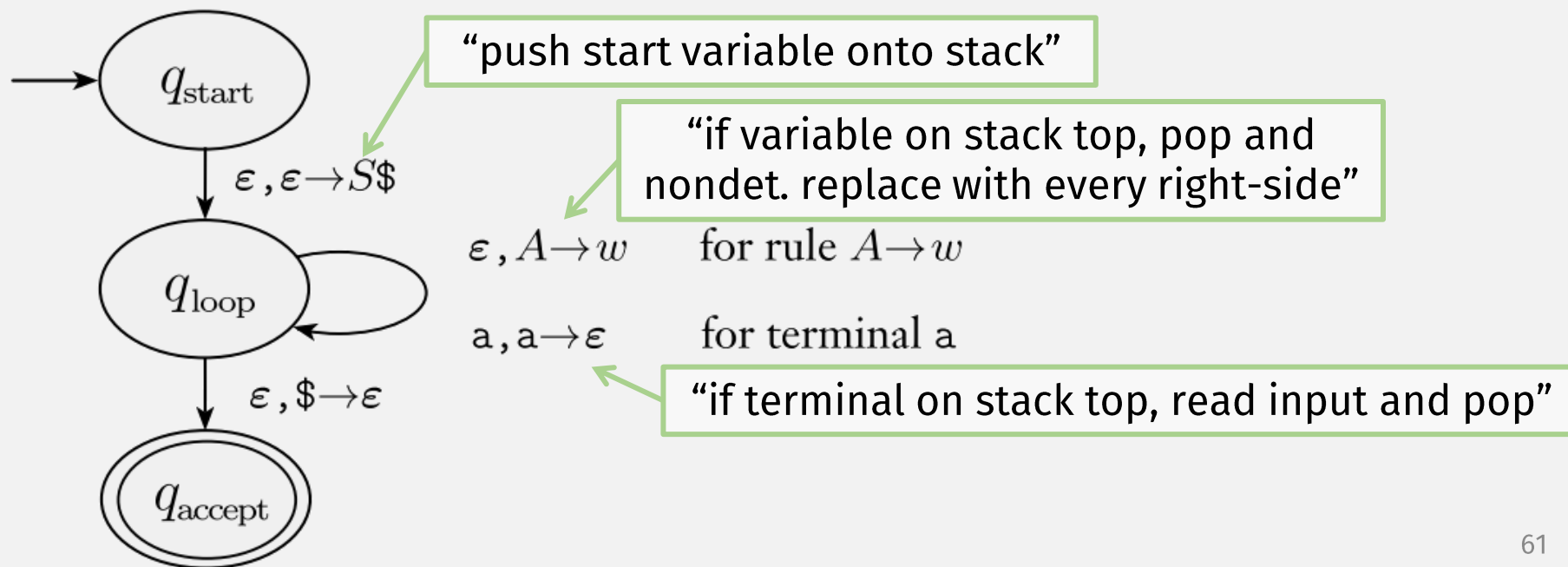
**CFL**  $\Leftrightarrow$  **PDA**

# A lang is a CFL iff some PDA recognizes it

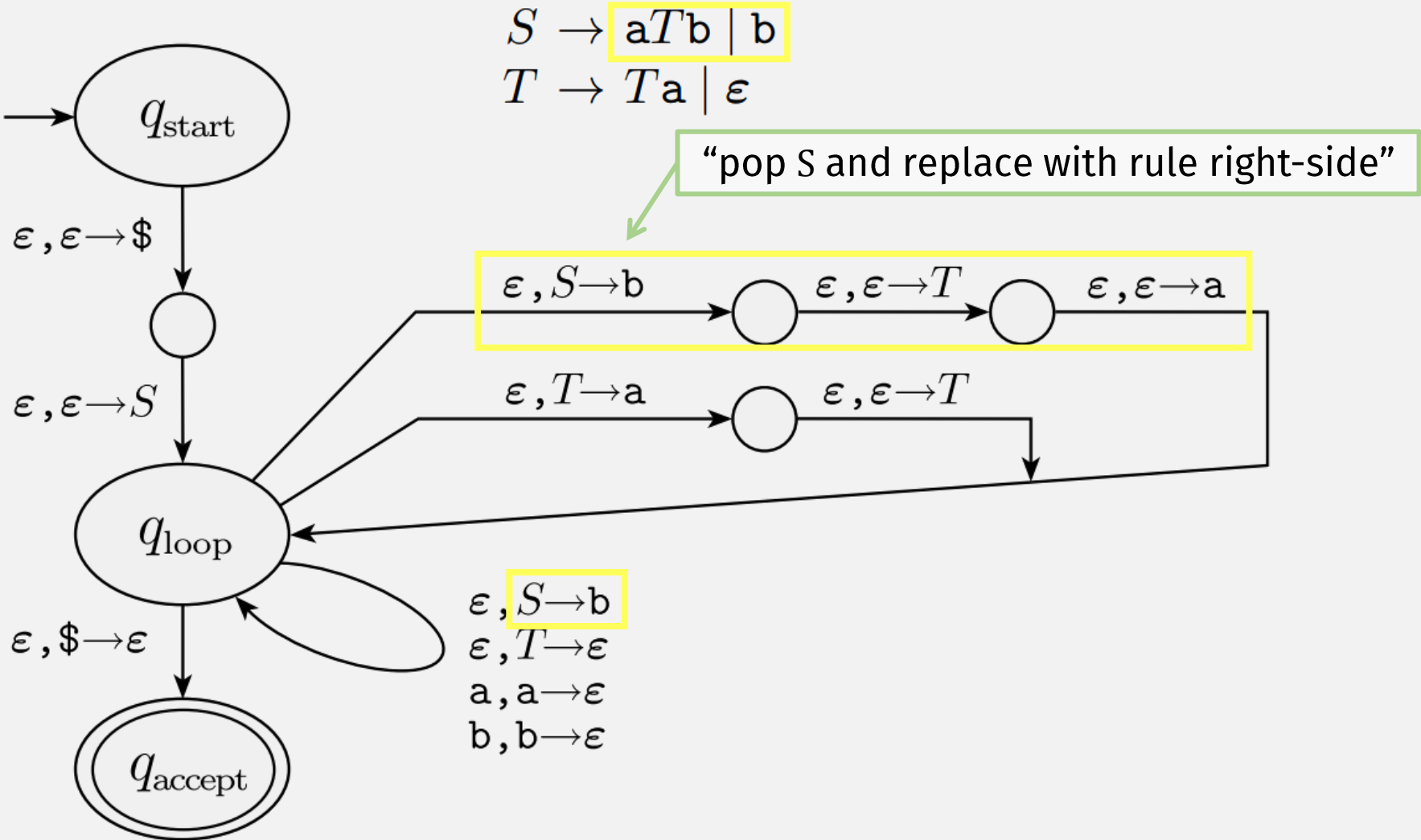
- $\Rightarrow$  If CFL, then PDA recognizes it
  - (Easier)
  - All CFLs have CFG describing it (definition of CFL)
  - Convert CFG  $\rightarrow$  PDA
- $\Leftarrow$  If PDA recognizes, then CFL

# CFG $\rightarrow$ PDA

- Construct PDA from CFG such that:
  - PDA accepts input string only if the CFG can generate that string
- Intuitively, PDA nondeterministically tries all rules

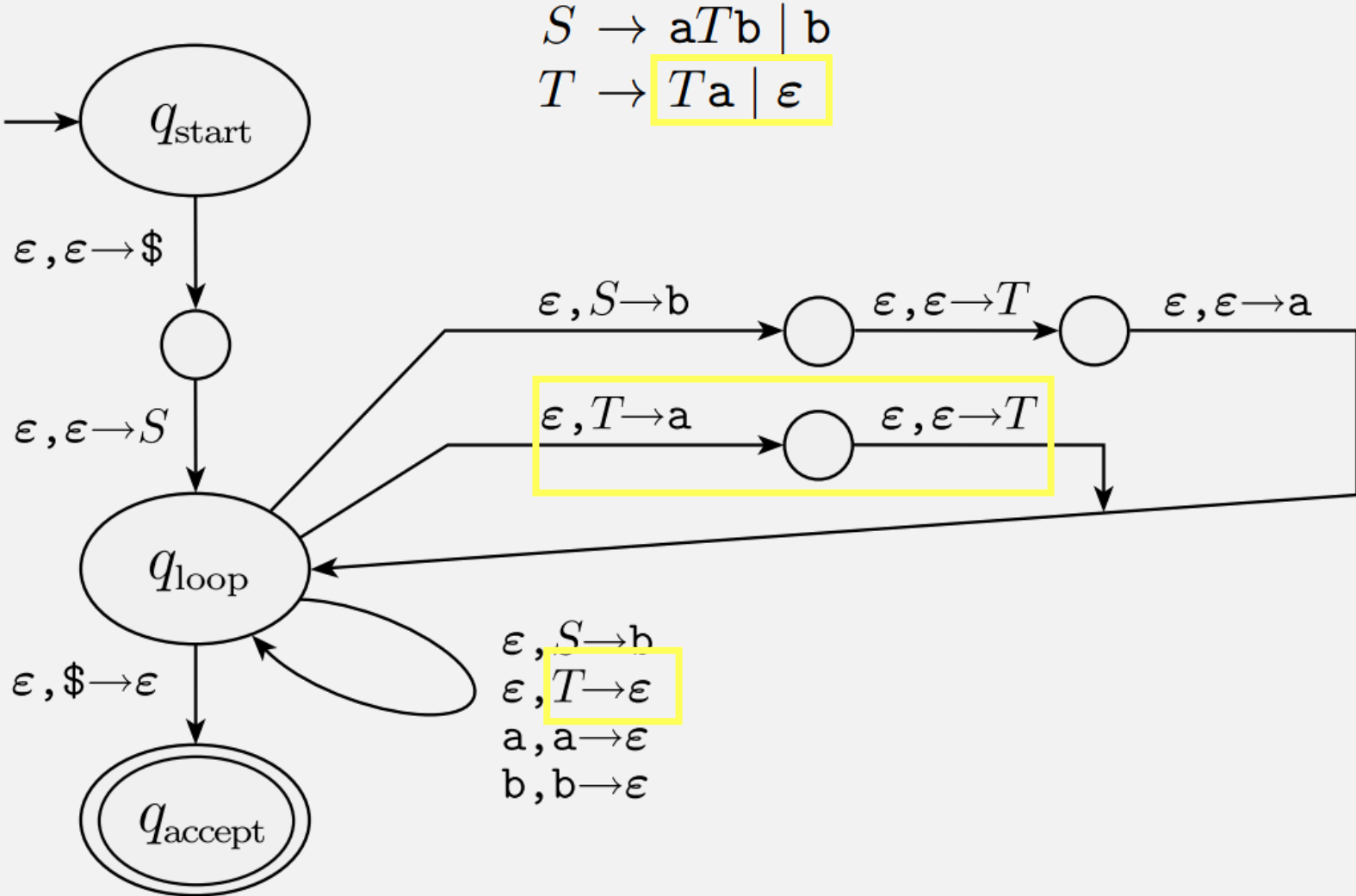


# Example CFG $\rightarrow$ PDA

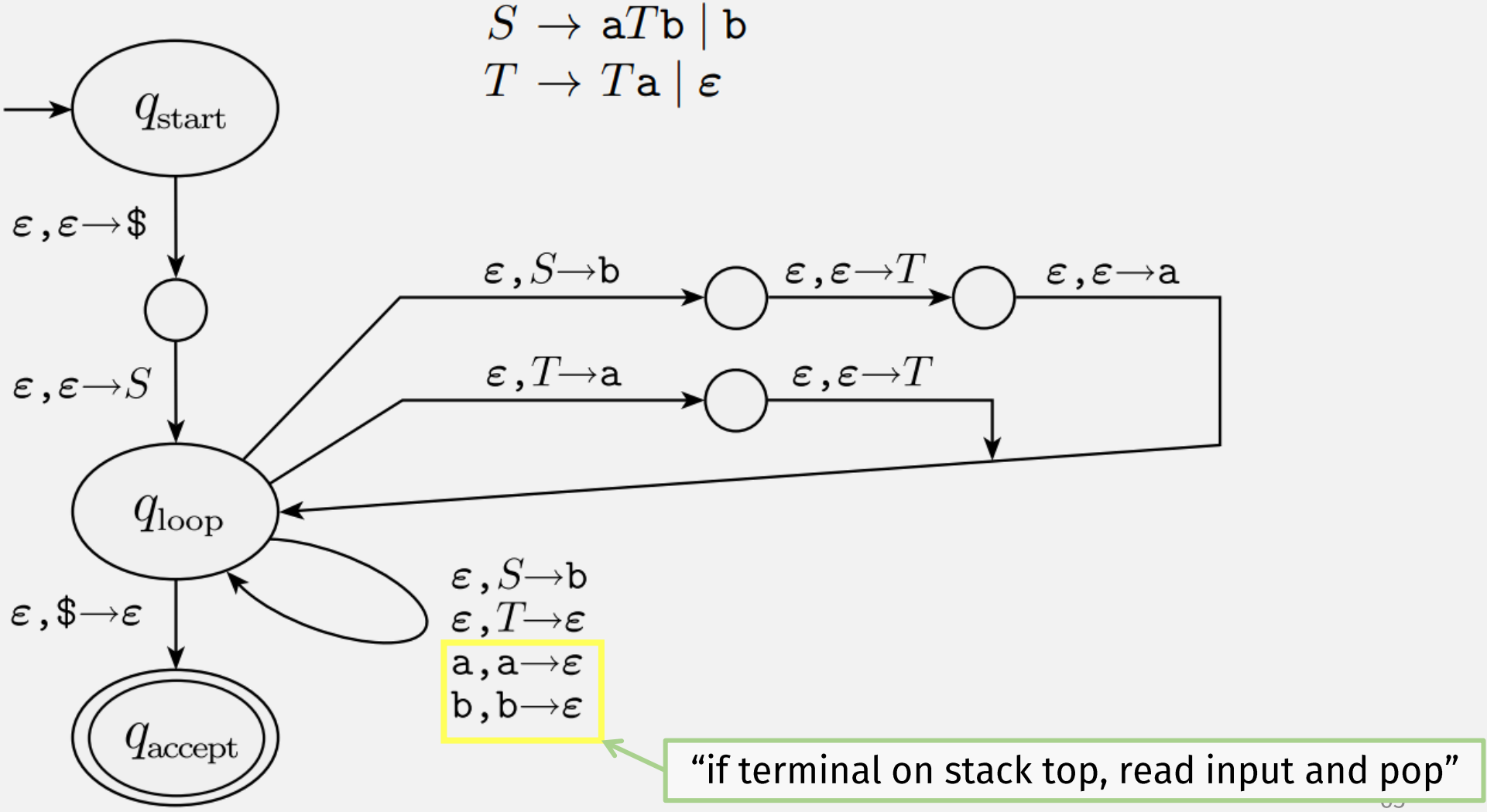




# Example CFG -> PDA



# Example CFG $\rightarrow$ PDA



# A lang is a CFL iff some PDA recognizes it

- $\Rightarrow$  If CFL, then PDA recognizes it
  - (Easier)
  - All CFLs have CFG describing it (definition of CFL)
  - Convert CFG  $\rightarrow$  PDA (**DONE!**)
- $\Leftarrow$  If PDA recognizes, then CFL
  - (Harder)
  - Need PDA  $\rightarrow$  CFG

# PDA $\rightarrow$ CFG: Step 1

Before converting PDA to CFG, modify it (without changing its lang) SO :

1. It has a single accept state,  $q_{\text{accept}}$ .
2. It empties its stack before accepting.
3. Each transition either pushes a symbol onto the stack (a *push* move) or pops one off the stack (a *pop* move), but it does not do both at the same time.

(confirm this to yourselves)

# PDA $P \rightarrow$ CFG $G$ : substitution rules

- For every pair of states  $p, q$ : add grammar variable  $A_{pq}$

$P = (Q, \Sigma, \Gamma, \delta, q_0, \{q_{\text{accept}}\})$  variables of  $G$  are  $\{A_{pq} \mid p, q \in Q\}$

- $A_{pq}$  represents “all possible inputs read going from state  $p$  to  $q$ ”
- Add rules:  $A_{pq} \rightarrow A_{pr}A_{rq}$ , for every state  $r$ 
  - “All possible strings when going from  $p$  to  $q$  =
    - All possible strings going from  $p$  to  $r$ , concatenated with
    - All possible strings going from  $r$  to  $q$ ”
- We still need rules that produce terminals!
- The key: pair up stack pushes and pops (essence of CFL)

# PDA $P \rightarrow$ CFG $G$ : generating strings

$P = (Q, \Sigma, \Gamma, \delta, q_0, \{q_{\text{accept}}\})$

variables of  $G$  are  $\{A_{pq} \mid p, q \in Q\}$

- The key: pair up stack pushes and pops (essence of CFL)

if  $\delta(p, a, \epsilon)$  contains  $(r, u)$  and  $\delta(s, b, u)$  contains  $(q, \epsilon)$ ,

put the rule  $A_{pq} \rightarrow aA_{rs}b$  in  $G$

# PDA $P \rightarrow$ CFG $G$ : generating strings

$P = (Q, \Sigma, \Gamma, \delta, q_0, \{q_{\text{accept}}\})$

variables of  $G$  are  $\{A_{pq} \mid p, q \in Q\}$

- The key: pair up stack pushes and pops (essence of CFL)

if  $\delta(p, a, \epsilon)$  contains  $(r, u)$  and  $\delta(s, b, u)$  contains  $(q, \epsilon)$ ,

put the rule  $A_{pq} \leftarrow \rightarrow aA_{rs}b$  in  $G$

# PDA $P \rightarrow$ CFG $G$ : generating strings

$P = (Q, \Sigma, \Gamma, \delta, q_0, \{q_{\text{accept}}\})$

variables of  $G$  are  $\{A_{pq} \mid p, q \in Q\}$

- The key: pair up stack pushes and pops (essence of CFL)

if  $\delta(p, a, \epsilon)$  contains  $(r, u)$  and  $\delta(s, b, u)$  contains  $(q, \epsilon)$ ,

put the rule  $A_{pq} \rightarrow aA_{rs}b$  in  $G$



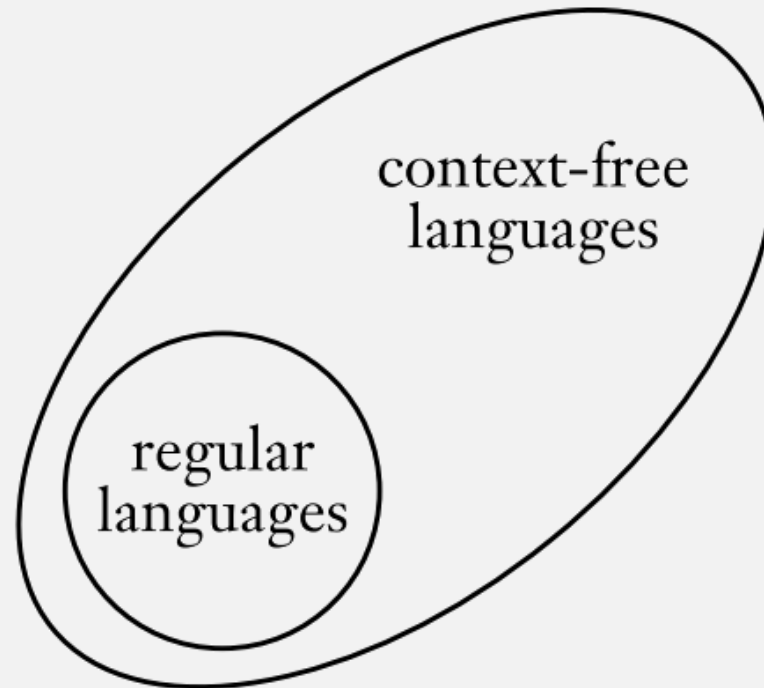
# A lang is a CFL $\Leftrightarrow$ A PDA recognizes it

- $\Rightarrow$  If CFL, then PDA recognizes it
  - All CFLs have CFG describing it (definition of CFL)
  - Convert CFG  $\rightarrow$  PDA
- $\Leftarrow$  If PDA recognizes, then CFL
  - Convert PDA  $\rightarrow$  CFG



# Regular languages are CFLs, prove 3 ways

- NFA  $\rightarrow$  PDA (with no stack moves)  $\rightarrow$  CFG
  - Just now
- DFA  $\rightarrow$  CFG
  - Textbook page 107
- Regex  $\rightarrow$  CFG
  - HW4



## **Check-in Quiz 10/7**

On Gradescope

## **End of Class Survey 10/7**

See course website

**Remember, no class next Monday!**