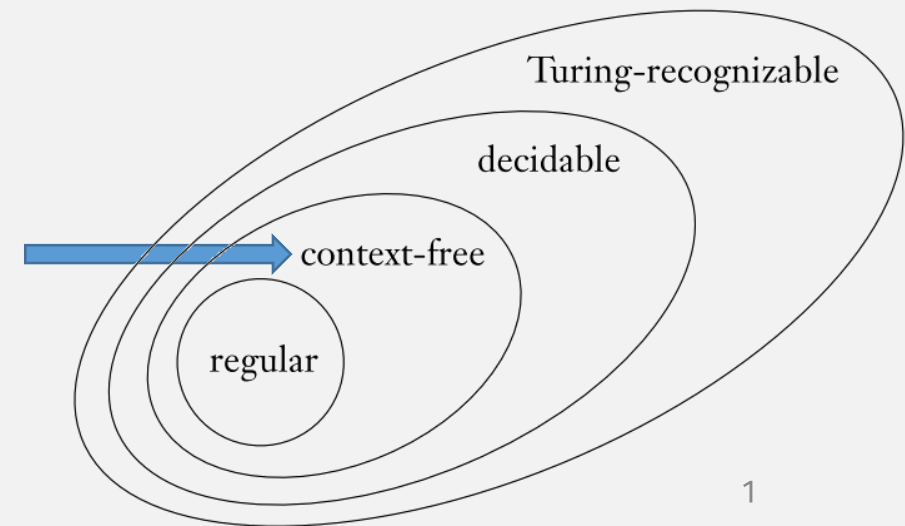


**UMB CS 420**

# Context-Free Languages (CFLs)

Thursday, October 13, 2022



# *Announcements*

- HW 4
  - due Sun 10/16 11:59pm EST

Last Time:

**Pumping lemma** If  $A$  is a regular language, then there is a number  $p$  (the pumping length) where if  $s$  is any string in  $A$  of length at least  $p$ , then  $s$  may be divided into three pieces,  $s = xyz$ , satisfying the following conditions:

1. for each  $i \geq 0$ ,  $xy^iz \in A$ ,
2.  $|y| > 0$ , and
3.  $|xy| \leq p$ .

Let  $B$  be the language  $\{0^n 1^n \mid n \geq 0\}$ . We use the pumping lemma to prove that  $B$  is not regular. The proof is by contradiction.

- Assume: language  $B$  is regular
- So it must follow the Pumping Lemma:
  - All strings  $\geq$  length  $p$  ...
  - ... can be split into some  $xyz$  ... where  $y$  is “pumpable”
- Find **counterexample** where Pumping Lemma does not hold:  $0^p 1^p$ 
  - Must show string cannot be pumped no matter how it’s split
  - Use pumping lemma condition #3 to help
- Therefore,  $B$  is not regular
  - (This is the contrapositive of the Pumping Lemma)
- This is a **contradiction** of the assumption!

contradiction

*Last Time:*

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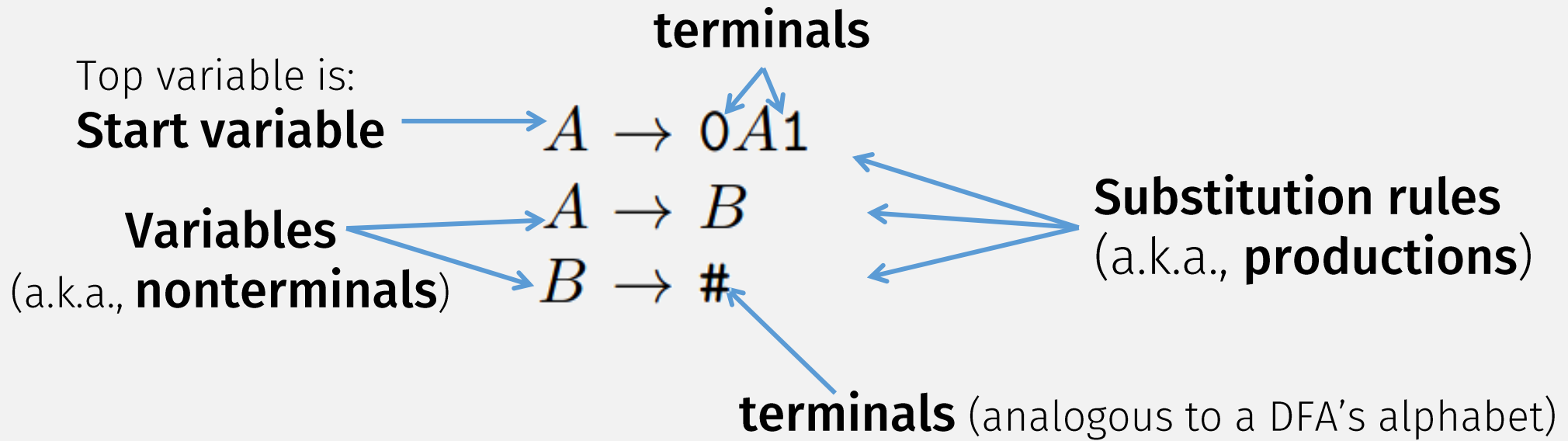
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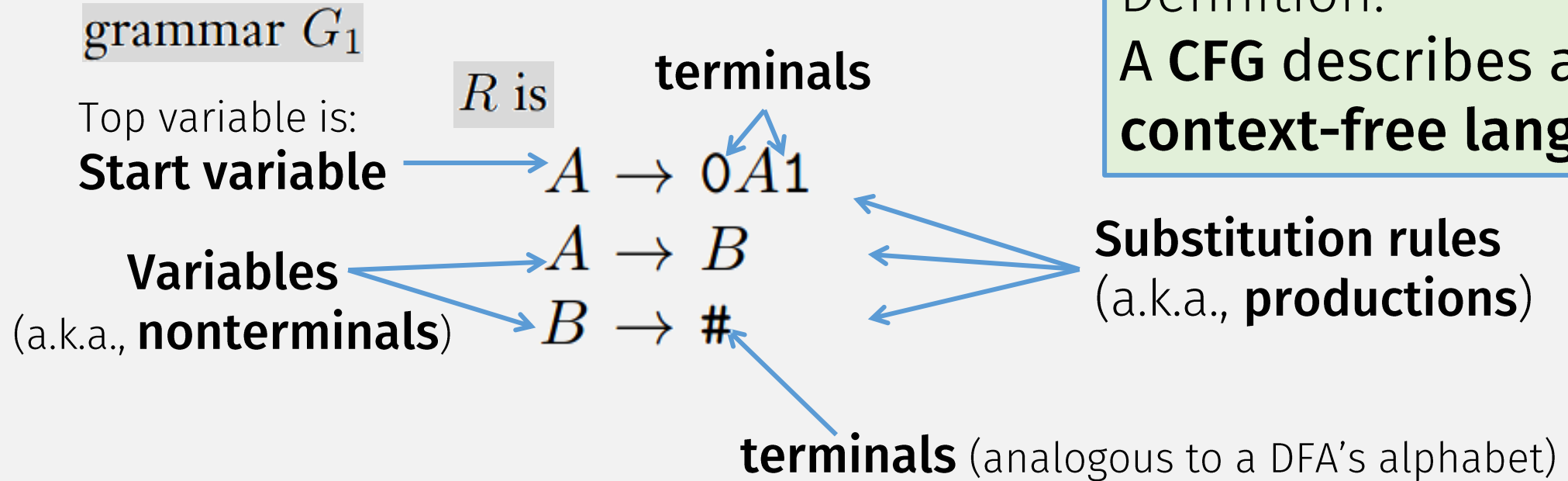
If this language is not regular, then what is it???

Maybe? ... a **context-free language (CFL)**?

# A Context-Free Grammar (CFG)



# A Context-Free Grammar (CFG)



A *context-free grammar* is a 4-tuple  $(V, \Sigma, R, S)$ , where

1.  $V$  is a finite set called the *variables*,
2.  $\Sigma$  is a finite set, disjoint from  $V$ , called the *terminals*,
3.  $R$  is a finite set of *rules*, with each rule being a variable and a string of variables and terminals, and
4.  $S \in V$  is the start variable.

$$V = \{A, B\},$$

$$\Sigma = \{0, 1, \#\},$$

$$S = A,$$

# Analogies

Regular Language	Context-Free Language (CFL)
Regular Expression	Context-Free Grammar (CFG)
A Reg Expr <u>describes</u> a Regular lang	A CFG <u>describes</u> a CFL

CFG Practical Application:  
Used to describe programming language syntax!

# Java Syntax: Described with CFGs

ORACLE

[Java SE](#) > [Java SE Specifications](#) > [Java Language Specification](#)

Chapter 2. Grammars

[Prev](#)

## Chapter 2. Grammars

This chapter describes the **context-free grammars** used in this specification to define the lexical and syntactic structure of a program.

### 2.1. Context-Free Grammars

A *context-free grammar* consists of a number of **productions**. Each production has an abstract symbol called a **nonterminal** as its *left-hand side*, and a sequence of one or more nonterminal and **terminal symbols** as its *right-hand side*. For each grammar, the terminal symbols are drawn from a specified *alphabet*.

Starting from a sentence consisting of a single distinguished nonterminal, called the *goal symbol*, a given context-free grammar specifies a language, namely, the set of possible sequences of terminal symbols that can result from repeatedly replacing any nonterminal in the sequence with a right-hand side of a production for which the nonterminal is the left-hand side.

### 2.2. The Lexical Grammar

A *lexical grammar* for the Java programming language is given in §3. This grammar has as its terminal symbols the characters of the Unicode character set. It defines a set of productions, starting from the goal symbol *Input* (§3.5), that describe how sequences of Unicode characters (§2.1) are translated into a sequence of input elements (§2.5).



(partially)

# Python Syntax: Described with a CFG

## 10. Full Grammar specification

This is the full Python grammar, as it is read by the parser generator and used to parse Python source files:

```
# Grammar for Python

# NOTE WELL: You should also follow all the steps listed at
# https://devguide.python.org/grammar/

# Start symbols for the grammar:
#     single_input is a single interactive statement;
#     file_input is a module or sequence of commands read from an input file;
#     eval_input is the input for the eval() functions.
#     func_type_input is a PEP 484 Python 2 function type comment
# NB: compound_stmt in single_input is followed by extra NEWLINE!
# NB: due to the way TYPE_COMMENT is tokenized it will always be followed by a NEWLINE
single_input: NEWLINE | simple_stmt | compound_stmt NEWLINE
file_input: (NEWLINE | stmt)* ENDMARKER
eval_input: testlist NEWLINE* ENDMARKER
```

(indentation checking  
probably not  
describable with a CFG)

Many Other Language (partially)

# ~~Python~~ Syntax: Described with a CFG

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eval_input: testlist NEWLINE* ENDMARKER
```

# Generating Strings with a CFG

Definition:  
A **CFG** describes a  
**context-free language!**

Strings in CFG's language  
= all possible generated strings

$G_1 =$

1<sup>st</sup> rule  $\rightarrow A \rightarrow 0A1$   
 $A \rightarrow B$   
 $B \rightarrow \#$

$L(G_1)$  is  $\{0^n \# 1^n \mid n \geq 0\}$

“Applying a rule”  
= replace LHS variable  
with RHS

At each step, can choose  
any variable to replace,  
and any rule to apply

Stop when string is all terminals

A CFG **generates** a string, by repeatedly applying substitution rules:

$A \Rightarrow 0A1 \Rightarrow 00A11 \Rightarrow 000A111 \Rightarrow 000B111 \Rightarrow 000\#111$

Start variable

After applying 1<sup>st</sup> rule

1<sup>st</sup> rule again

1<sup>st</sup> rule again

Use 2<sup>nd</sup> rule

Use last rule

# Derivations: Formally

A *context-free grammar* is a 4-tuple  $(V, \Sigma, R, S)$ , where

1.  $V$  is a finite set called the *variables*,
2.  $\Sigma$  is a finite set, disjoint from  $V$ , called the *terminals*,
3.  $R$  is a finite set of *rules*, with each rule being a variable and a string of variables and terminals, and
4.  $S \in V$  is the start variable.

Let  $G = (V, \Sigma, R, S)$

## Single-step

$$\alpha A \beta \xRightarrow{G} \alpha \gamma \beta$$

Where:

$$\alpha, \beta \in (V \cup \Sigma)^* \leftarrow \begin{array}{l} \text{Strings of terminals} \\ \text{and variables} \end{array}$$

$$A \in V \leftarrow \begin{array}{l} \text{Variable} \end{array}$$

$$A \rightarrow \gamma \in R \leftarrow \begin{array}{l} \text{Rule} \end{array}$$

## Extended Derivation

Base case:  $\alpha \xRightarrow{G}^* \alpha$  (0 steps)

Recursive case: (multistep)

• If  $\alpha \xRightarrow{G} \beta$  and  $\beta \xRightarrow{G}^* \gamma$

Single step      Recursive call

• Then:  $\alpha \xRightarrow{G}^* \gamma$

# Formal Definition of a CFL

A *context-free grammar* is a 4-tuple  $(V, \Sigma, R, S)$ , where

1.  $V$  is a finite set called the *variables*,
2.  $\Sigma$  is a finite set, disjoint from  $V$ , called the *terminals*,
3.  $R$  is a finite set of *rules*, with each rule being a variable and a string of variables and terminals, and
4.  $S \in V$  is the start variable.

$$G = (V, \Sigma, R, S)$$

$$L(G) = \left\{ w \in \Sigma^* \mid S \xrightarrow[G]{*} w \right\}$$

Any language that can be generated by some context-free grammar is called a *context-free language*

*Flashback:*  $\{0^n 1^n \mid n \geq 0\}$

- Pumping Lemma says it's not a regular language
- It's a context-free language!
  - Proof?
  - Come up with CFG describing it ...
  - Hint: It's similar to:

$$A \rightarrow 0A1$$

$$A \rightarrow B$$

$$B \rightarrow \cancel{\#} \epsilon$$

$$L(G_1) \text{ is } \{0^n \cancel{\#} 1^n \mid n \geq 0\}$$

# A String Can Have Multiple Derivations

$$\begin{aligned}\langle \text{EXPR} \rangle &\rightarrow \langle \text{EXPR} \rangle + \langle \text{TERM} \rangle \mid \langle \text{TERM} \rangle \\ \langle \text{TERM} \rangle &\rightarrow \langle \text{TERM} \rangle \times \langle \text{FACTOR} \rangle \mid \langle \text{FACTOR} \rangle \\ \langle \text{FACTOR} \rangle &\rightarrow ( \langle \text{EXPR} \rangle ) \mid a\end{aligned}$$

Want to generate this string: **a + a × a**

- EXPR ⇒
- EXPR + TERM ⇒
- EXPR + TERM × FACTOR ⇒
- EXPR + TERM × a ⇒
- ...

**RIGHTMOST DERIVATION**

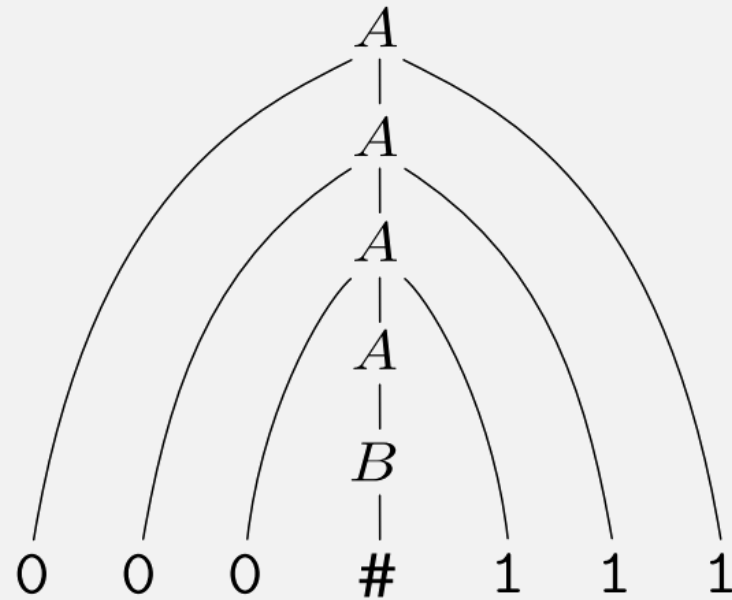
- EXPR ⇒
- EXPR + TERM ⇒
- TERM + TERM ⇒
- FACTOR + TERM ⇒
- a + TERM
- ...

**LEFTMOST DERIVATION**

# Derivations and Parse Trees

$A \Rightarrow 0A1 \Rightarrow 00A11 \Rightarrow 000A111 \Rightarrow 000B111 \Rightarrow 000\#111$

A derivation may also be represented as a **parse tree**





# Multiple Derivations, Single Parse Tree

## Leftmost derivation

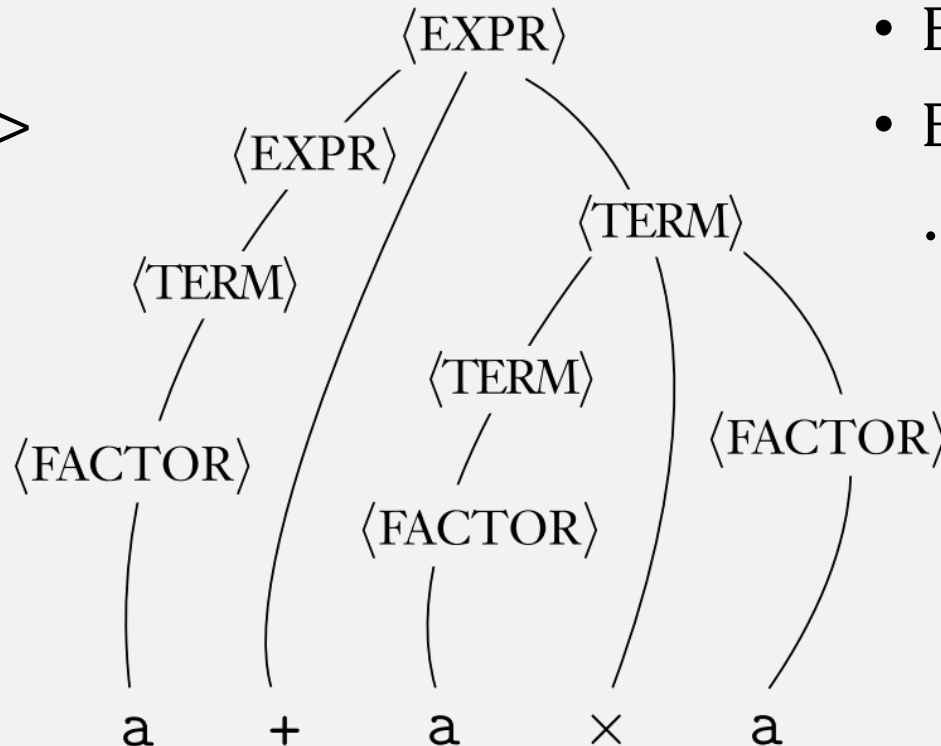
- EXPR  $\Rightarrow$
- EXPR + TERM  $\Rightarrow$
- TERM + TERM  $\Rightarrow$
- FACTOR + TERM  $\Rightarrow$
- a + TERM
- ...

Since the “meaning” (i.e., parse tree) is same, by convention we just use **leftmost** derivation

## Rightmost derivation

- EXPR  $\Rightarrow$
- EXPR + TERM  $\Rightarrow$
- EXPR + TERM x FACTOR  $\Rightarrow$
- EXPR + TERM x a  $\Rightarrow$
- ...

## Same parse tree



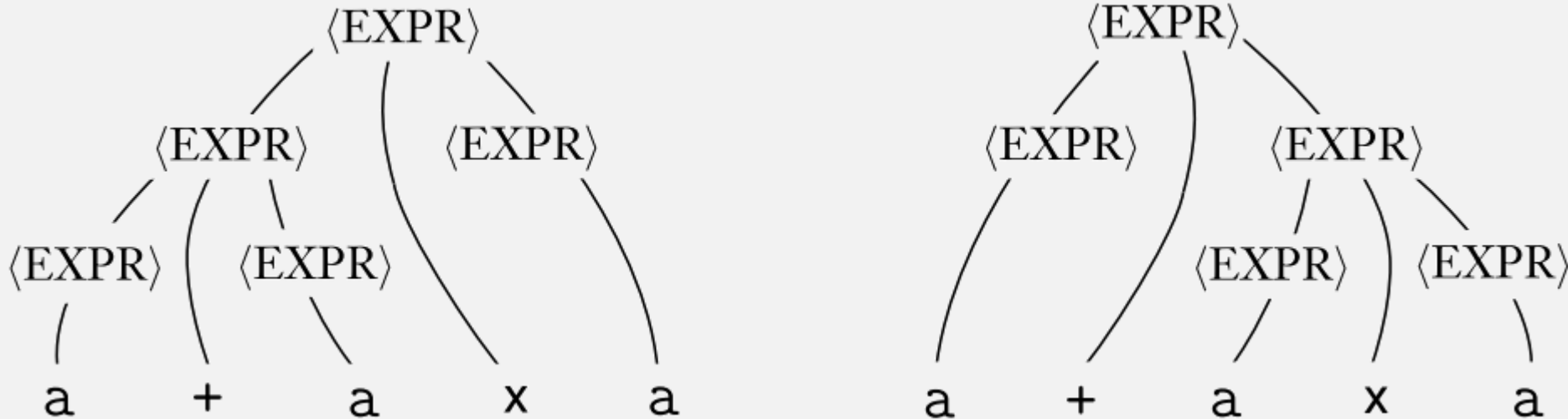
A Parse Tree gives “meaning” to a string

# Ambiguity

grammar  $G_5$ :

$\langle \text{EXPR} \rangle \rightarrow \langle \text{EXPR} \rangle + \langle \text{EXPR} \rangle \mid \langle \text{EXPR} \rangle \times \langle \text{EXPR} \rangle \mid ( \langle \text{EXPR} \rangle ) \mid a$

Same string,  
different derivation,  
and different parse tree!



# Ambiguity

A string  $w$  is derived *ambiguously* in context-free grammar  $G$  if it has two or more different leftmost derivations. Grammar  $G$  is *ambiguous* if it generates some string ambiguously.

An ambiguous grammar can give  
a string multiple meanings!  
(why is this **bad**?)

# Real-life Ambiguity (“Dangling” else)

- What is the result of this C program?

```
if (1) if (0) printf("a"); else printf("2");
```



```
if (1)
  if (0)
    printf("a");
  else
    printf("2");
```

VS

```
if (1)
  if (0)
    printf("a");
else
  printf("2");
```

This string has 2 parsings, and thus 2 meanings!

Ambiguous grammars are confusing. In a (programming) language, a string (program) should have only **one meaning** (result).

Problem is, there's no guaranteed way to create an unambiguous grammar (it's up to language designers to “be careful”)

# Designing Grammars : Basics

1. Think about what you want to “link” together

- E.g.,  $0^n1^n$ 
  - $A \rightarrow 0A1$
  - # 0s and # 1s are “linked”
- E.g., XML
  - $\text{ELEMENT} \rightarrow \langle \text{TAG} \rangle \text{CONTENT} \langle / \text{TAG} \rangle$
  - Start and end tags are “linked”

2. Start with small grammars and then combine (just like FSMs)

# Designing Grammars: Building Up

- Start with small grammars and then combine (just like FSMs)
  - To create a grammar for the language  $\{0^n 1^n \mid n \geq 0\} \cup \{1^n 0^n \mid n \geq 0\}$ 
    - First create grammar for lang  $\{0^n 1^n \mid n \geq 0\}$ :
$$S_1 \rightarrow 0S_1 1 \mid \epsilon$$
    - Then create grammar for lang  $\{1^n 0^n \mid n \geq 0\}$ :
$$S_2 \rightarrow 1S_2 0 \mid \epsilon$$
    - Then combine:  $S \rightarrow S_1 \mid S_2$ 
$$S_1 \rightarrow 0S_1 1 \mid \epsilon$$
$$S_2 \rightarrow 1S_2 0 \mid \epsilon$$
      - ← New start variable and rule combines two smaller grammars
      - “|” = “or” = union (combines 2 rules with same left side)

# Closed Operations on CFLs

- Start with small grammars and then combine (just like FSMs)

- “Or”:  $S \rightarrow S_1 \mid S_2$

- “Concatenate”:  $S \rightarrow S_1 S_2$

- “Repetition”:  $S' \rightarrow S' S_1 \mid \epsilon$

# In-class Example: Designing grammars

alphabet  $\Sigma$  is  $\{0,1\}$

$\{w \mid w \text{ starts and ends with the same symbol}\}$

- $S \rightarrow 0C'0 \mid 1C'1 \mid \varepsilon$       “string starts/ends with same symbol, middle can be anything”
- $C' \rightarrow C'C \mid \varepsilon$       “middle: all possible terminals, repeated (ie, all possible strings)”
- $C \rightarrow 0 \mid 1$       “all possible terminals”



*Next Time:*

Regular Languages	Context-Free Languages (CFLs)
Regular Expression	Context-Free Grammar (CFG)
A Reg Expr <u>describes</u> a Regular Lang	A CFG <u>describes</u> a CFL
Finite Automaton (FSM)	???
An FSM <u>recognizes</u> a Regular Lang	A ??? <u>recognizes</u> a CFL

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Regular Languages	Context-Free Languages (CFLs)
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Finite Automaton (FSM)	Push-down Automaton (PDA)
An FSM <u>recognizes</u> a Regular Lang	A PDA <u>recognizes</u> a CFL
<u>DIFFERENCE:</u>	<u>DIFFERENCE:</u>
A Regular Lang is <u>defined</u> with a FSM	A CFL is <u>defined</u> with a CFG
<i>Proved:</i> Reg Expr $\Leftrightarrow$ Reg Lang	<i>Must prove:</i> PDA $\Leftrightarrow$ CFL

# **Check-in Quiz 10/13**

On gradescope