

More NP-Complete Problems

Wednesday, May 4, 2022



Announcements

- HW 11 in
 - Due Tues 5/3 11:59pm EST
- HW 12 out tomorrow
 - Due Wed 5/11 11:59pm EST
 - Last HW!
- 3 lectures left!
- Course evals next week

Last Time: NP-Completeness

DEFINITION

A language B is *NP-complete* if it satisfies two conditions:

1. B is in NP, and
2. every A in NP is polynomial time reducible to B .

Must prove for all langs, not just a single language

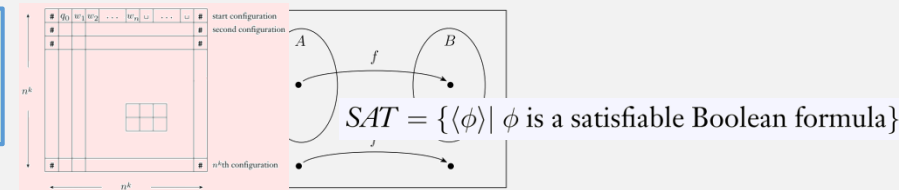
It's difficult to prove the first NP-complete problem!

THEOREM

SAT is NP-complete.

(Just like finding the first undecidable problem was hard!)

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$...	$\langle D \rangle$
M_1	accept	reject	accept	reject	...	accept
M_2	accept	accept	reject	accept	...	accept
M_3	reject	reject	reject	reject	...	reject
M_4	accept	accept	reject	reject	...	accept
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots
D	reject	reject	accept	accept	...	?



But each NP-complete problem we prove makes it **easier to prove the next one!**

THEOREM

known

unknown

Last Time: If B is NP-complete and $B \leq_P C$ for C in NP, then C is NP-complete.

If you're not Stephen Cook or Leonid Levin, **use this theorem to prove a language is NP-complete**

THEOREM

Last Time: If B is NP-complete and $B \leq_P C$ for C in NP, then C is NP-complete.

3 steps to prove a language C is NP-complete:

1. Show C is in NP
2. Choose B , the known NP-complete problem to reduce from
3. Show a poly time mapping reduction from B to C

To show poly time mapping reducibility:

1. create **computable fn**,
2. show that it **runs in poly time**,
3. then show **forward direction** of mapping red.,
4. and **reverse direction**
(or **contrapositive** of reverse direction)

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3 steps to prove a language C is NP-complete:

1. Show C is in NP
2. Choose B , the known NP-complete problem to reduce from
3. Show a poly time mapping reduction from B to C

Example:

Let $C = 3SAT$, to prove $3SAT$ is NP-Complete:

1. Show $3SAT$ is in NP

THEOREM

Last Time: If B is NP-complete and $B \leq_P C$ for C in NP, then C is NP-complete.

3 steps to prove a language C is NP-complete:

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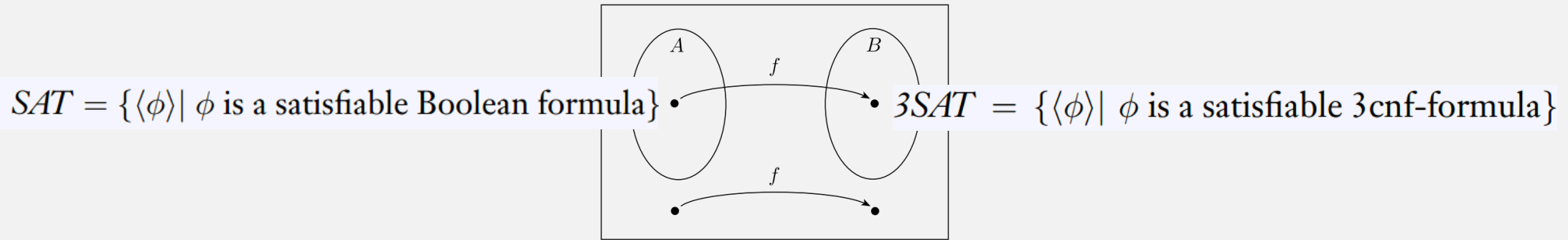
Let $C = 3SAT$, to prove $3SAT$ is NP-Complete:

- ✓ 1. Show $3SAT$ is in NP
- ✓ 2. Choose B , the NP-complete problem to reduce from: SAT
3. Show a poly time mapping reduction from SAT to $3SAT$

To show poly time mapping reducibility:

1. create **computable fn**,
2. show that it **runs in poly time**,
3. then show **forward direction** of mapping red.,
4. and **reverse direction**
(or **contrapositive** of reverse direction)

Flashback: SAT is Poly Time Reducible to 3SAT



Need: poly time computable fn converting a Boolean formula ϕ to 3CNF:

1. Convert ϕ to CNF (an AND of OR clauses)

a) Use DeMorgan's Law to push negations onto literals

$$\neg(P \vee Q) \iff (\neg P) \wedge (\neg Q) \qquad \neg(P \wedge Q) \iff (\neg P) \vee (\neg Q) \quad O(n)$$

b) Distribute ORs to get ANDs outside of parens

$$(P \vee (Q \wedge R)) \iff ((P \vee Q) \wedge (P \vee R)) \quad O(n)$$

2. Convert to 3CNF by adding new variables

$$(a_1 \vee a_2 \vee a_3 \vee a_4) \iff (a_1 \vee a_2 \vee z) \wedge (\bar{z} \vee a_3 \vee a_4) \quad O(n)$$

Remaining step: show
iff relation holds ...

... easy for formula
conversion: each
step is already a
known "law"

THEOREM

Last Time: If B is NP-complete and $B \leq_P C$ for C in NP, then C is NP-complete.

3 steps to prove a language is NP-complete:

1. Show C is in NP
2. Choose B , the known NP-complete problem to reduce from
3. Show a poly time mapping reduction from B to C

Theorem: $3SAT$ is NP-complete

Let $C = 3SAT$, to prove $3SAT$ is NP-Complete:

1. Show $3SAT$ is in NP
2. Choose B , the NP-complete problem to reduce from: SAT
3. Show a poly time mapping reduction from SAT to $3SAT$

Now have 2 known NP-
Complete languages to use:

- SAT
- $3SAT$



THEOREM

Last Time: If B is NP-complete and $B \leq_P C$ for C in NP, then C is NP-complete.

3 steps to prove a language is NP-complete:

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2. Choose B , the known NP-complete problem to reduce from
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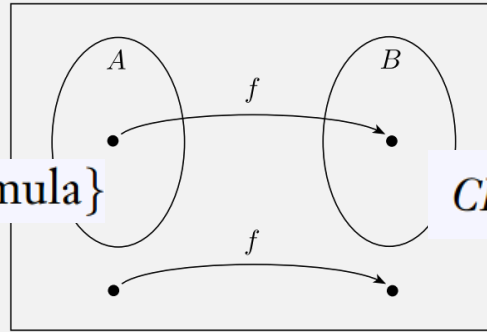
Theorem: $CLIQUE$ is NP-complete

Let $C = \exists SAT \text{ } CLIQUE$, to prove $\exists SAT \text{ } CLIQUE$ is NP-Complete:

- ? 1. Show $\exists SAT \text{ } CLIQUE$ is in NP
- ? 2. Choose B , the NP-complete problem to reduce from: $SAT \text{ } 3SAT$
- ? 3. Show a poly time mapping reduction from B to C

Flashback:

3SAT is polynomial time reducible to CLIQUE.



$3SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3cnf-formula} \}$

$CLIQUE = \{ \langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique} \}$

Need: poly time computable fn converting a 3cnf-formula ...

Example:

$$\phi = (x_1 \vee x_1 \vee \boxed{x_2}) \wedge (\boxed{\bar{x}_1} \vee \bar{x}_2 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_2 \vee \boxed{x_2})$$

• ... to a graph containing a clique:

- Each clause maps to a group of 3 nodes
- Connect all nodes except:
 - Contradictory nodes

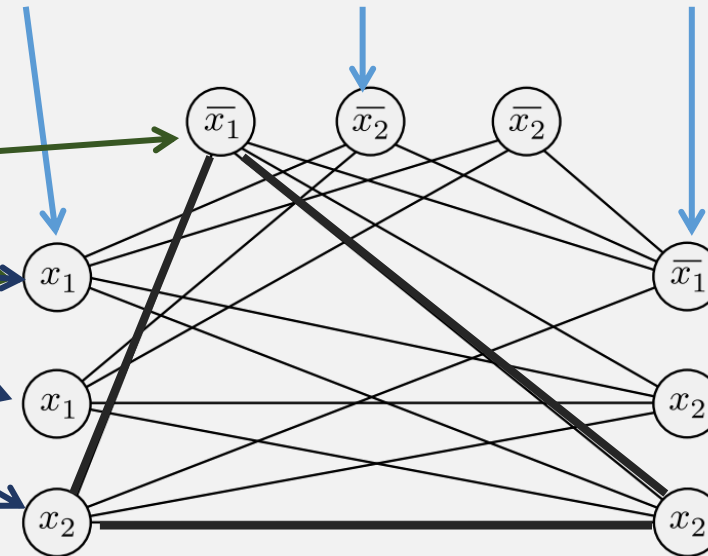
Don't forget iff Nodes in the same group

⇒ If $\phi \in 3SAT$

- Then each clause has a TRUE literal
 - Those are nodes in the clique!
 - E.g., $x_1 = 0, x_2 = 1$

⇐ If $\phi \notin 3SAT$

- For any assignment, some clause must have a contradiction with another clause
- Then in the graph, some clause's group of nodes won't be connected to another group, preventing the clique



Runs in poly time:

- # literals = $O(n)$
- # nodes = $O(n)$
- # edges poly in # nodes = $O(n^2)$

THEOREM

Last Time: If B is NP-complete and $B \leq_P C$ for C in NP, then C is NP-complete.

3 steps to prove a language is NP-complete:

1. Show C is in NP
2. Choose B , the NP-complete problem to reduce from
3. Show a poly time mapping reduction from B to C

Theorem: $CLIQUE$ is NP-complete

Let $C = \exists SAT \text{ } CLIQUE$, to prove $\exists SAT \text{ } CLIQUE$ is NP-Complete:

- ✓ 1. Show $\exists SAT \text{ } CLIQUE$ is in NP
- ✓ 2. Choose B , the NP-complete problem to reduce from: ~~SAT~~ $\exists SAT$
- ✓ 3. Show a poly time mapping reduction from B to C

Now have 3 known NP-Complete languages to use:

- SAT
- $\exists SAT$
- $CLIQUE$



NP-Complete problems, so far

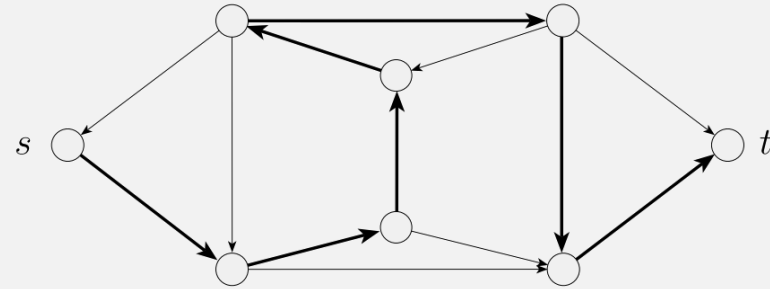
- $SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula}\}$ (Cook-Levin Theorem)
- $3SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable 3cnf-formula}\}$ (reduced SAT to $3SAT$)
- $CLIQUE = \{\langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique}\}$ (reduced $3SAT$ to $CLIQUE$)

We now have 3 options to choose from when proving the next NP-complete problem

Flashback: The *HAMPATH* Problem

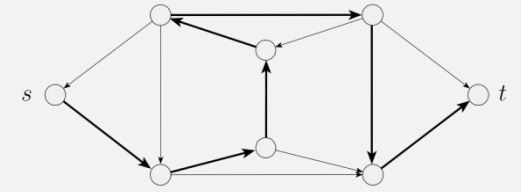
$HAMPATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t \}$

- A Hamiltonian path goes through every node in the graph



- The **Search** problem:
 - **Exponential time** (brute force) algorithm:
 - Check all possible paths of length n
 - See if any connects s and t : $O(n!) = O(2^n)$
 - **Polynomial time** algorithm:
 - Unknown!!!
- The **Verification** problem:
 - Still $O(n^2)$, just like *PATH*!
- So *HAMPATH* is in **NP** but not known to be in **P**

Theorem: *HAMPATH* is NP-complete



$HAMPATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph} \\ \text{with a Hamiltonian path from } s \text{ to } t \}$

THEOREM

Using: If B is NP-complete and $B \leq_P C$ for C in NP, then C is NP-complete.

3 steps to prove a language is **NP**-complete:

1. Show C is in **NP**
2. Choose B , the known **NP**-complete problem to reduce from
3. Show a poly time mapping reduction from B to C

Theorem: *HAMPATH* is NP-complete

$HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t\}$

To prove *HAMPATH* is NP-complete:

- ✓1. Show *HAMPATH* is in NP (left as exercise)
- ✓2. Choose *B*, the NP-complete problem to reduce from *3SAT*
3. Show a poly time mapping reduction from *B* to *HAMPATH*

Theorem: *HAMPATH* is NP-complete

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To prove *HAMPATH* is NP-complete:

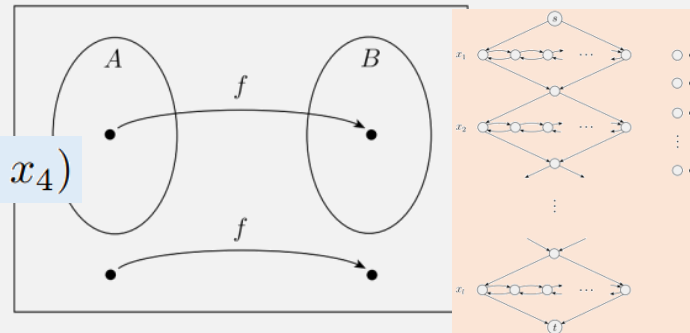
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To show poly time mapping reducibility:

1. create **computable fn**,
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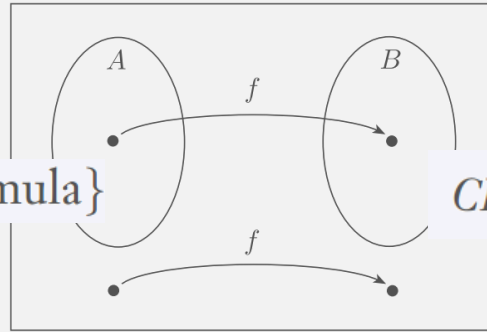
???

$$(x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6} \vee x_4)$$



Flashback:

3SAT is polynomial time reducible to *CLIQUE*.



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Need: poly time computable fn converting a 3cnf-formula ...

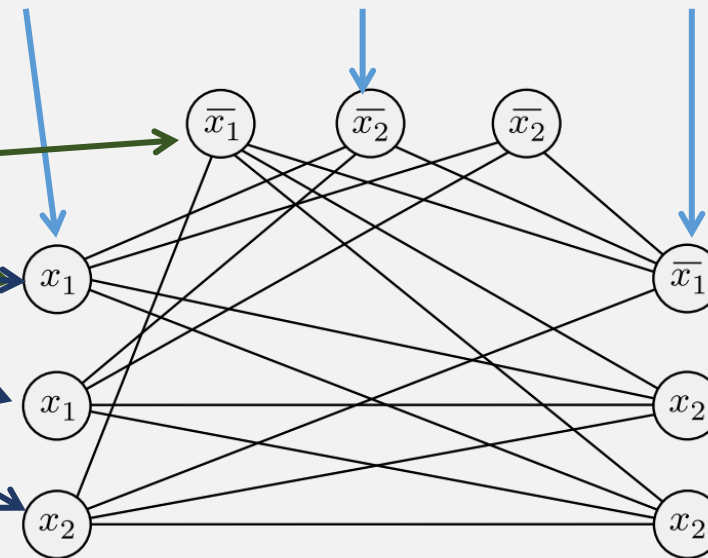
Example:

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_2 \vee x_2)$$

• ... to a graph containing a clique:



- Each **clause** maps to a group of 3 **nodes**
- **Connect all nodes** except:
 - Contradictory nodes
 - Nodes in the same group

Do conversion piece by piece ...



General Strategy: Reducing from $3SAT$

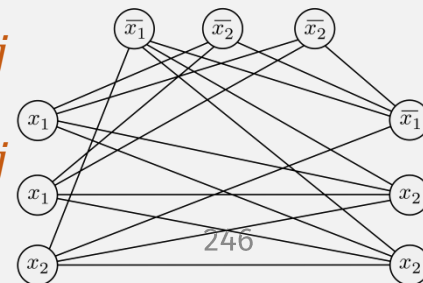
Create a **computable function** mapping formula to “gadgets”:

- **Variable** \rightarrow “gadget”, e.g., 
- **Clause** \rightarrow “gadget”, e.g., 
Gadget is typically “used” in two “opposite” ways:
 1. “something” when var is assigned **TRUE**, or
 2. “something else” when var is assigned **FALSE**

NOTE: “gadgets” are not always graphs; depends on the problem

Then connect variable and clause “gadgets” together:

- **Literal x_i in clause c_j** \rightarrow gadget x_i “connects to” gadget c_j
- **Literal \bar{x}_i in clause c_j** \rightarrow gadget x_i “connects to” gadget c_j
- E.g., connect each node to node not in clause



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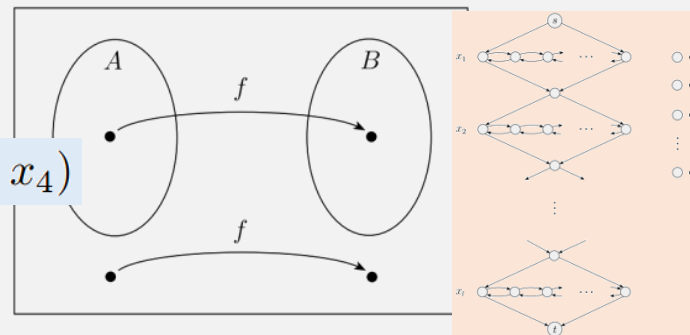
To prove *HAMPATH* is NP-complete:

- ✓ 1. Show *HAMPATH* is in NP (in HW9)
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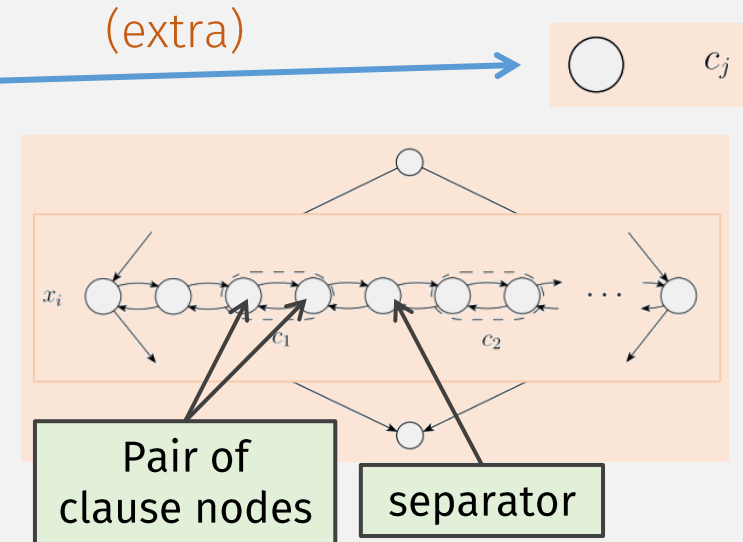


Computable Fn: Formula (blue) \rightarrow Graph (orange)

Example input: $\phi = (a_1 \vee b_1 \vee c_1) \wedge (a_2 \vee b_2 \vee c_2) \wedge \dots \wedge (a_k \vee b_k \vee c_k)$

$k = \#$ clauses

- **Clause** \rightarrow (extra) single nodes, Total = k
- **Variable** \rightarrow diamond-shaped graph “gadget”
 - **Clause** \rightarrow 2 “connector” nodes + separator
 - Total = $3k+1$ “connector” nodes per “gadget”



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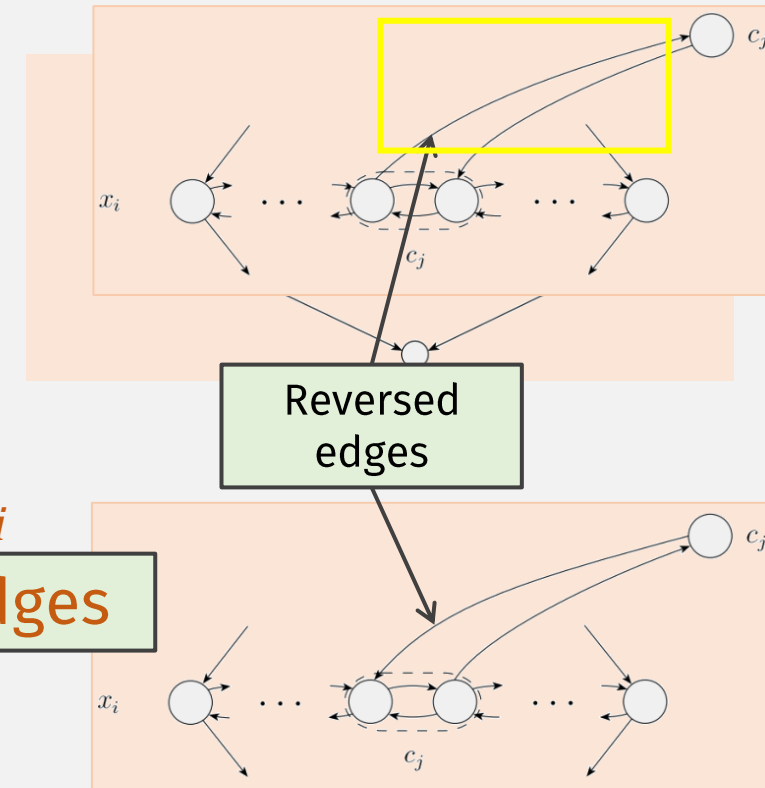
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Literal = variable or negated variable

- Lit x_i in clause $c_j \rightarrow c_j$ node edges in gadget x_i

Each extra c_j node has 6 edges

- Lit \bar{x}_i in clause $c_j \rightarrow c_j$ edges in gadget x_i (rev)



Theorem: *HAMPATH* is NP-complete

$HAMPATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t \}$

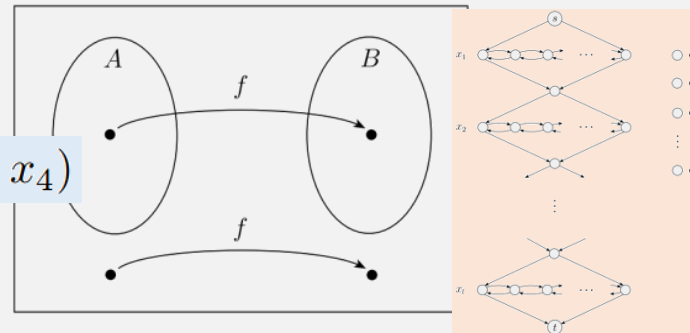
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Polynomial Time?

TOTAL:
 $O(k^2)$

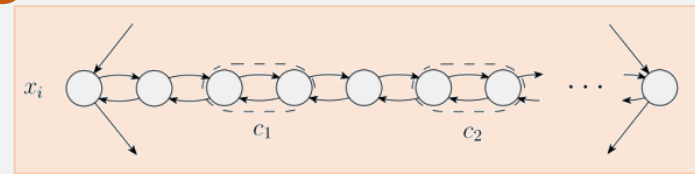
Example input: $\phi = (a_1 \vee b_1 \vee c_1) \wedge (a_2 \vee b_2 \vee c_2) \wedge \dots \wedge (a_k \vee b_k \vee c_k)$
 $k = \# \text{ clauses} = \text{at most } 3k \text{ variables}$

• Clause \rightarrow (extra) single nodes  c_j **$O(k)$**

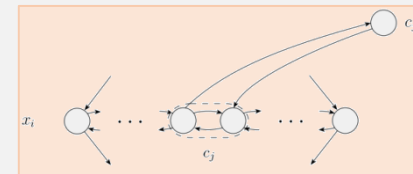
• Variable \rightarrow diamond-shaped graph “gadget” **$O(k^2)$**

• Clause \rightarrow 2 “connector” nodes + separator

• Total = $3k+1$ “connector” nodes per “gadget”

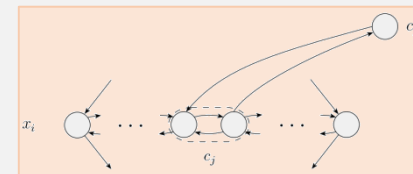


• Lit x_i in clause $c_j \rightarrow c_j$ node edges in gadget x_i



$O(k)$

• Lit \bar{x}_i in clause $c_j \rightarrow c_j$ edges in gadget x_i (rev)



$O(k)$

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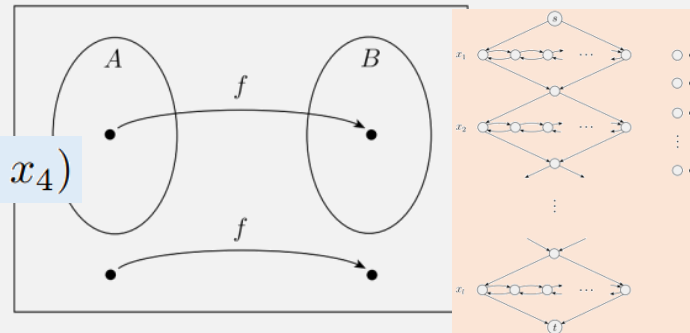
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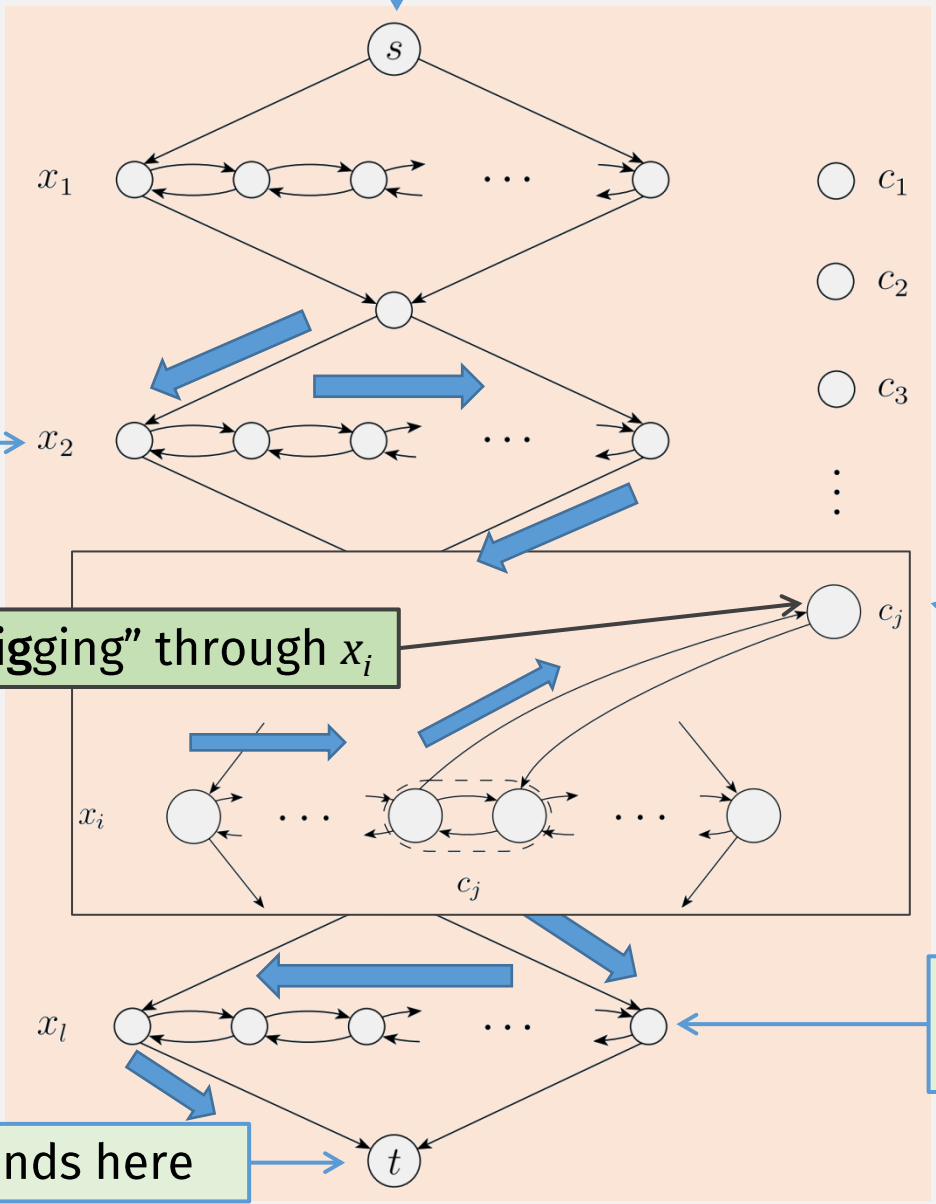
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$$(x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6} \vee x_4)$$



A Ham. Path (must touch all nodes) through this graph:

1. Starts here



3a. and either "zig-zags" ...

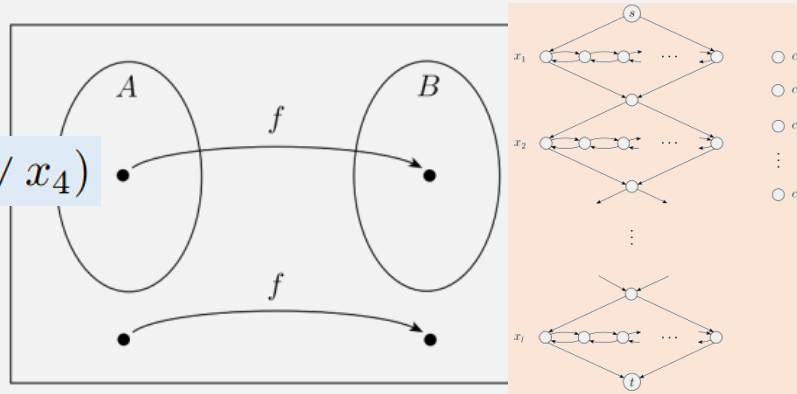
Can only go to this c_j if "zigging" through x_i

4. and must "detour" to these clause gadgets (at least once, has 3 chances)

3b. or "zag-zigs" through each variable gadget

2. Ends here

$$(x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6} \vee x_4)$$



Want: Satisfiable 3cnf formula \Leftrightarrow graph with Hamiltonian path
 \Rightarrow If there is satisfying assignment, then Hamiltonian path exists

These hit all nodes except extra c_j s

$x_i = \text{TRUE} \rightarrow$ Hampath “zig-zags” gadget x_i

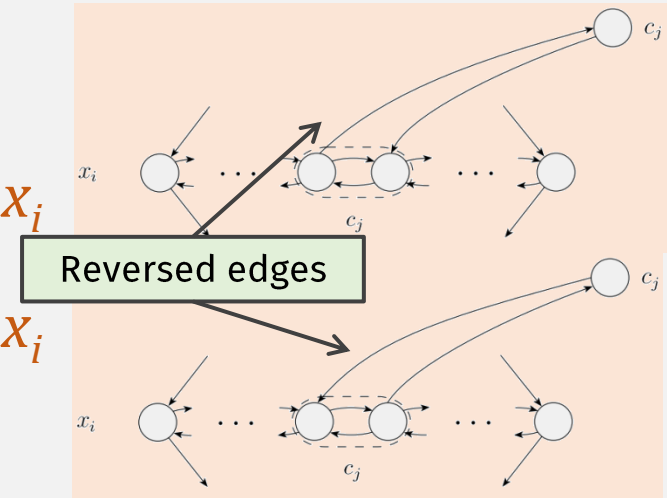
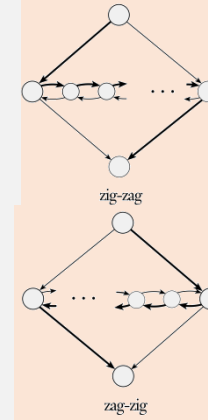
$x_i = \text{FALSE} \rightarrow$ Hampath “zag-zigs” gadget x_i

- Lit x_i makes clause c_j TRUE \rightarrow “detour” to c_j in gadget x_i
- Lit $\overline{x_i}$ makes clause c_j TRUE \rightarrow “detour” to c_j in gadget x_i

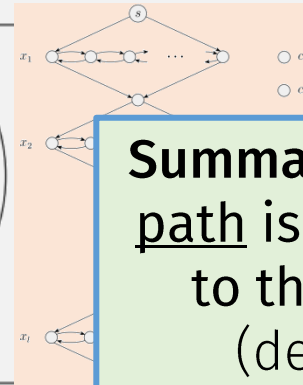
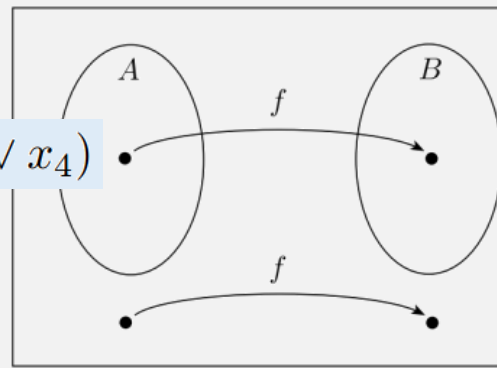
Now path goes through every node

Every clause must be TRUE so path hits all c_j nodes

- And edge directions align with TRUE/FALSE assignments



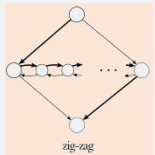
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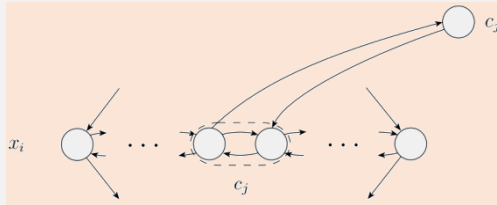
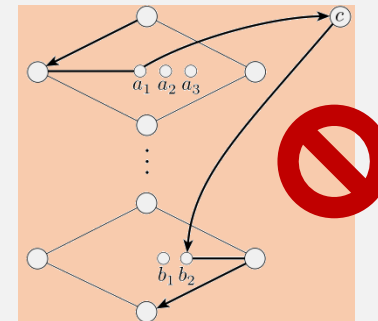
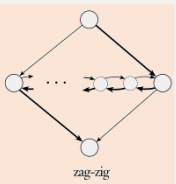
Summary: the only possible Ham. path is the one that corresponds to the satisfying assignment (described on prev slide)

Want: Satisfiable 3cnf formula \Leftrightarrow graph with Hamiltonian path

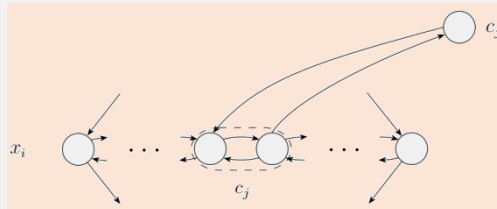
\Leftarrow if output has Ham. path, then input had Satisfying assignment



- A Hamiltonian path must choose to either zig-zag or zag-zig gadgets
- Ham path can only hit “detour” c_j nodes by coming right back
- Otherwise, it will miss some nodes



gadget x_i “detours” from left to right $\rightarrow x_i = \text{TRUE}$



gadget x_i “detours” from right to left $\rightarrow x_i = \text{FALSE}$

Theorem: *HAMPATH* is NP-complete

$HAMPATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t \}$

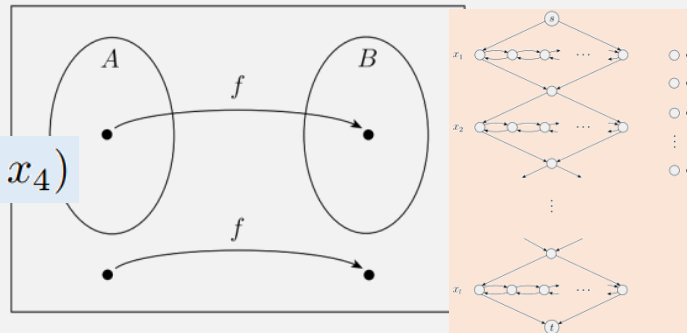
To prove *HAMPATH* is NP-complete:

- ✓1. Show *HAMPATH* is in NP
- ✓2. Choose *B*, the NP-complete problem to reduce from *3SAT*
- ✓3. Show a poly time mapping reduction from *3SAT* to *HAMPATH*

To show poly time mapping reducibility:

- ✓1. create **computable fn**,
- ✓2. show that it **runs in poly time**,
- ✓3. then show **forward direction** of mapping red.,
- ✓4. and **reverse direction**
(or **contrapositive of reverse direction**)

$$(x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6} \vee x_4)$$



Theorem: *UHAMPATH* is NP-complete

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To prove *UHAMPATH* is NP-complete:

- ✓ 1. Show *UHAMPATH* is in NP
- 2. Choose the NP-complete problem to reduce from *HAMPATH*
3. Show a poly time mapping reduction from ??? to *UHAMPATH*

Theorem: *UHAMPATH* is NP-complete

$UHAMPATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t \}$

To prove *UHAMPATH* is NP-complete:

- ✓ 1. Show *UHAMPATH* is in NP
- ✓ 2. Choose the NP-complete problem to reduce from *HAMPATH*
- ➔ 3. Show a poly time mapping reduction from *HAMPATH* to *UHAMPATH*

Theorem: *UHAMPATH* is NP-complete

$UHAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t\}$

Need: Computable function from *HAMPATH* to *UHAMPATH*

Naïve Idea: Make all directed edges undirected?

- But we would create some paths that didn't exist before



- **Doesn't work!**

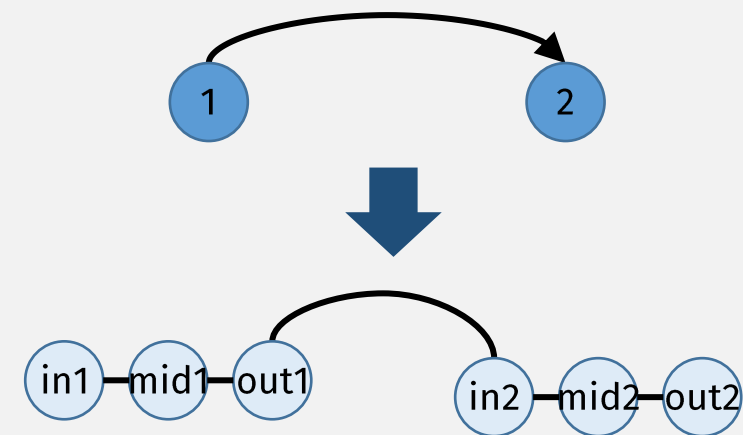
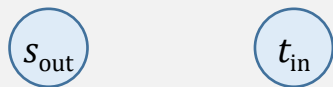
Theorem: $UHAMPATH$ is NP-complete

$UHAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t\}$

Need: Computable function from $HAMPATH$ to $UHAMPATH$

Better Idea:

- Distinguish “in” vs “out” edges
- Nodes (directed) \rightarrow 3 Nodes (undirected): in/mid/out
 - Connect in/mid/out with edges
 - Directed edge $(u, v) \rightarrow (u_{out}, v_{in})$
- Except: $s \rightarrow s_{out}, t \rightarrow t_{in}$ **only!**



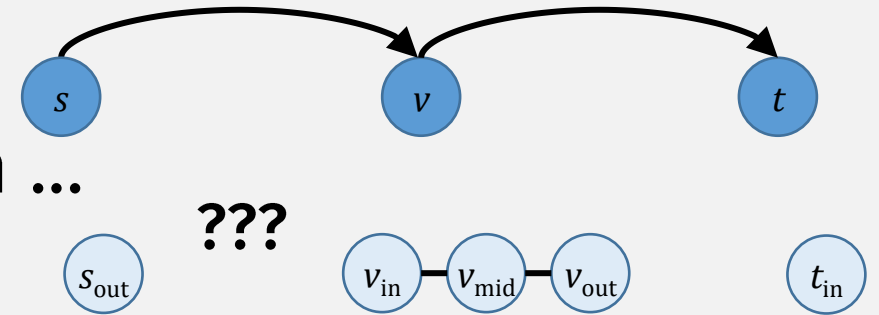
Theorem: *UHAMPATH* is NP-complete

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Need: Computable function from *HAMPATH* to *UHAMPATH*

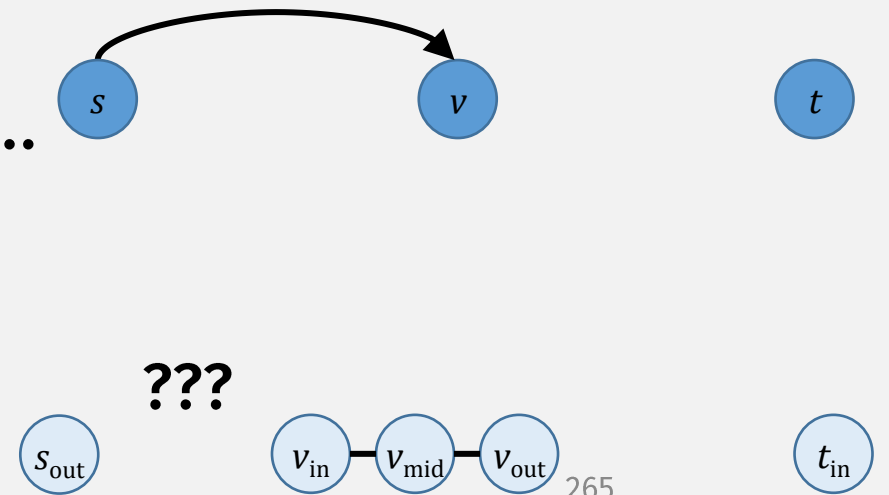
⇒ If there is a directed path from s to t ...

- ... then there must be an undirected path ...
- Because ...



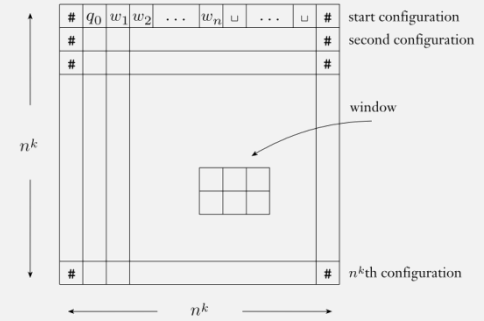
⇐ If there is no directed path from s to t ...

- ... then there is no undirected path ...
- Because ...



Finish this proof for HW 12

NP-Complete problems, so far



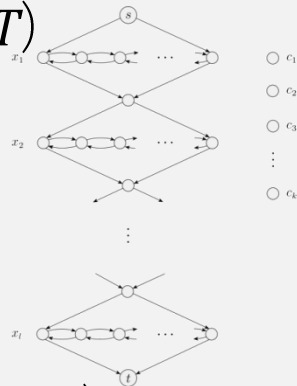
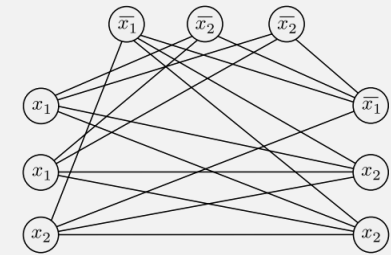
- $SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula}\}$ (Cook-Levin Theorem)

- $3SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable 3cnf-formula}\}$ (reduce from SAT)

- $CLIQUE = \{\langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique}\}$ (reduce from $3SAT$)

- $HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t\}$

- $UHAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t\}$



(reduce from $3SAT$)

(reduce from $HAMPATH$)

Quiz 5/4